



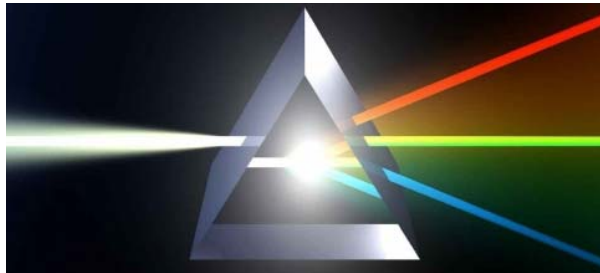
Bio-materials characterization with NIR

Anna Sandak & Jakub Sandak



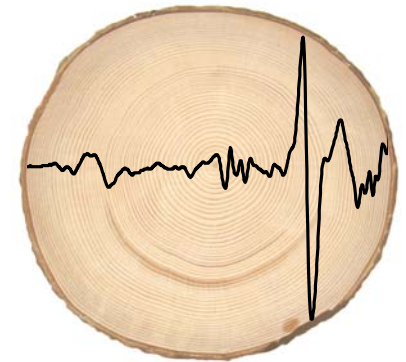
Outline

Tendencies in biomaterials development



Why spectroscopy?

NIR in bio-materials characterization



Trends in NIR applications

Goals

- to **exploit** the potential of near infrared spectroscopy
- to **demonstrate** its capabilities for bio-based materials characterization
- to **highlight** the potential of the NIR as a tool capable of providing complementary information to other techniques
- to **present** current trends in NIR developments

Bio-materials in construction sector

- In Italy 1 on 12 buildings is made of wood and growing tendency is observed nowadays
- Bio-based materials are often used for retrofitting of existing structures, upward construction or vertical gardens
- Buildings that use bio-materials are not just sustainable, strong and durable; they are also beautiful

Development priorities

- **Structural components**

(need for developed wood products - Engineered Wood Products, high strength wood, moisture resistant sills, light-weight beams/joists/studs of bio-composites, sandwich panels for exterior walls)



- **Insulation**

(need for compactable bats of cellulose insulation, environmentally friendly fire impregnation, high-performance insulation that provides thinner walls, insulation, optimized for soundproofing)



- **Barrier Materials**

(need for bio-based wind and vapor barrier for moisture-proof exterior walls, waterproofing for wet areas, **façade** and roofing materials with **improved durability/serviceability**)



Durability and performance



Surface properties

leveling
roughness
pattern
resistance to dirt
color
matting effect
softness
resistance to scratch
outlook
brightness
soft feel
hardness
contamination
surface free energy
aseptic
resistance to abrasion
touch experience
durability
adhesion
chemical activity
wetability
facture
gloss
waviness
temperature
water repellency

How to assess properties?



- Excellent
- Very good
- Good
- Average
- Poor

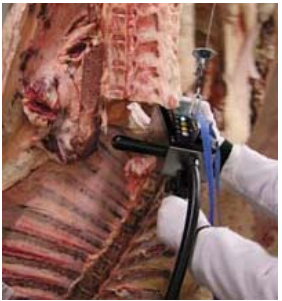


Why NIR spectroscopy?

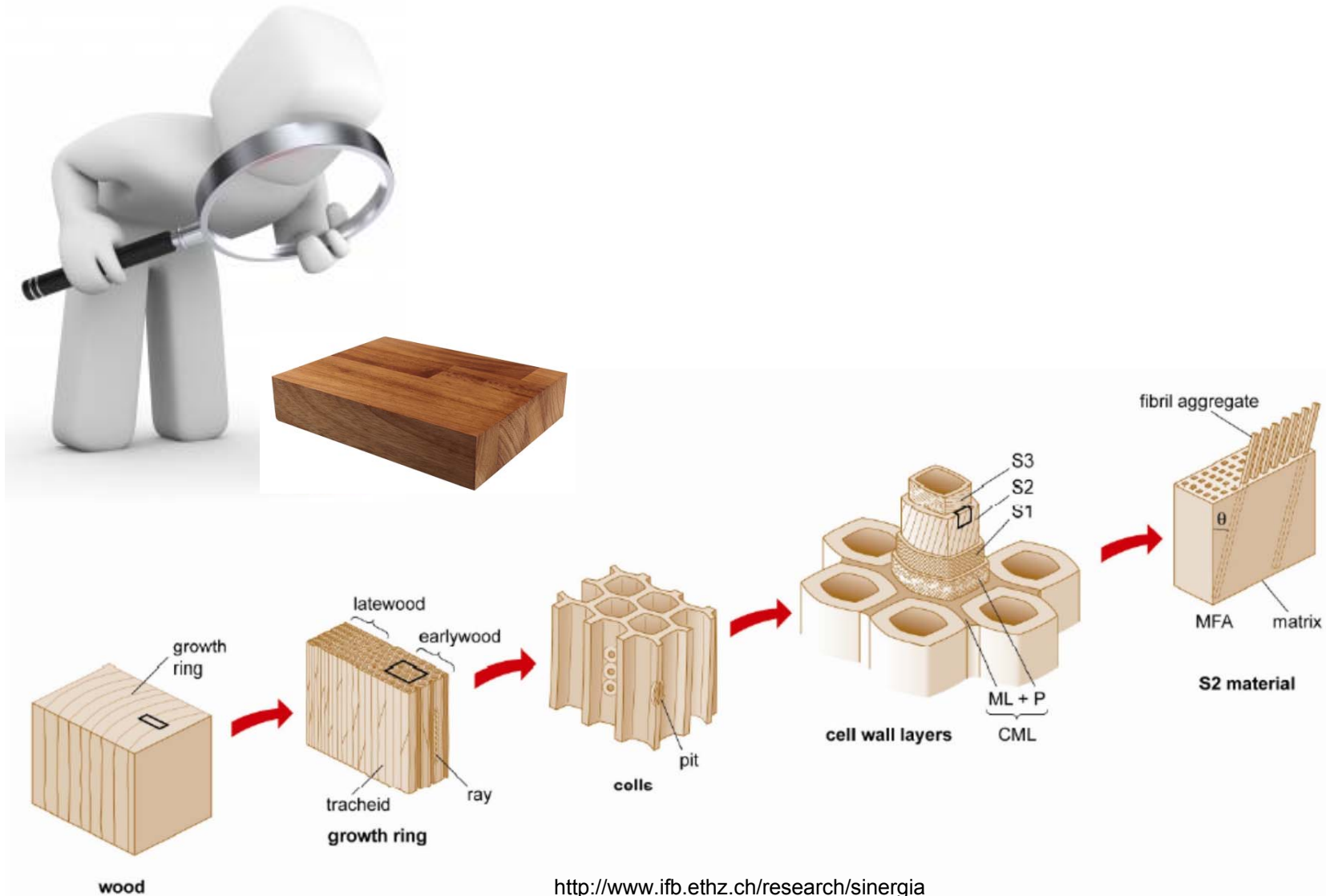
- 👍 No need special sample preparation
 - 👍 Non-destructive testing
 - 👍 Relatively fast measurement
 - 👍 No residues/solvents to waste
 - 👍 Determination of many components simultaneously
 - 👍 High degree of precision and accuracy
 - 👍 Direct measurement with very low cost
 - 👎 Not self standing technology
 - 👎 Overlapping of spectral peaks
 - 👎 Not straightforward interpretation
 - 👎 Needs statistics methods for data analysis
- 

NIR applications

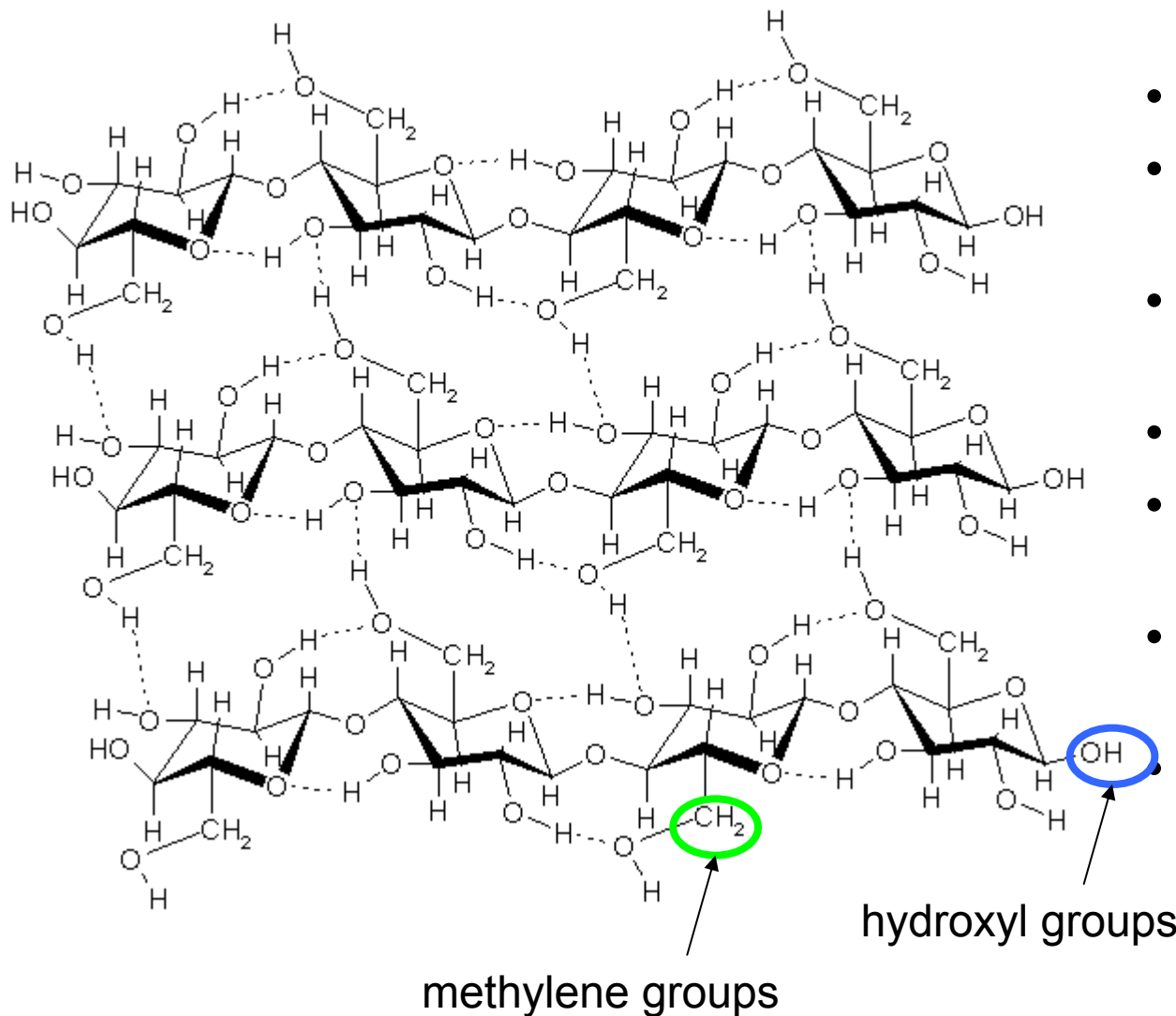
chemical industry, microanalysis,
pharmaceutical analysis, soil,
polymers, food and beverages,
surface science, fuels, textile
industry, art conservation,
forensics, PAT, remote sensing
and also **wood and paper
industry**



...but what can we see in wood?



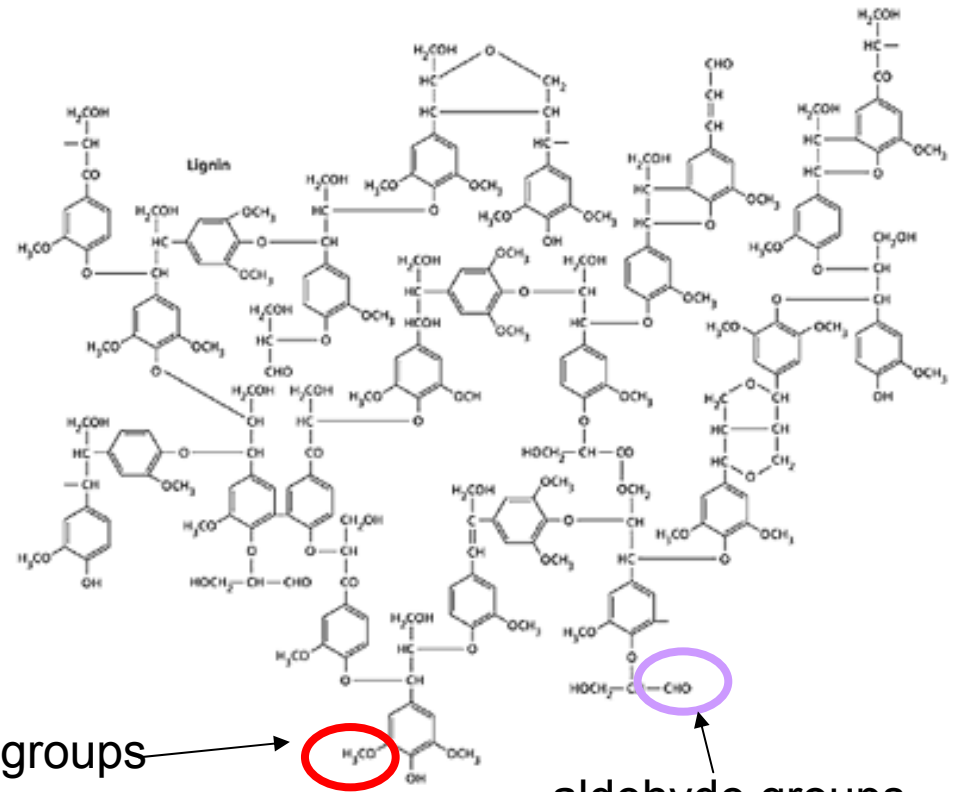
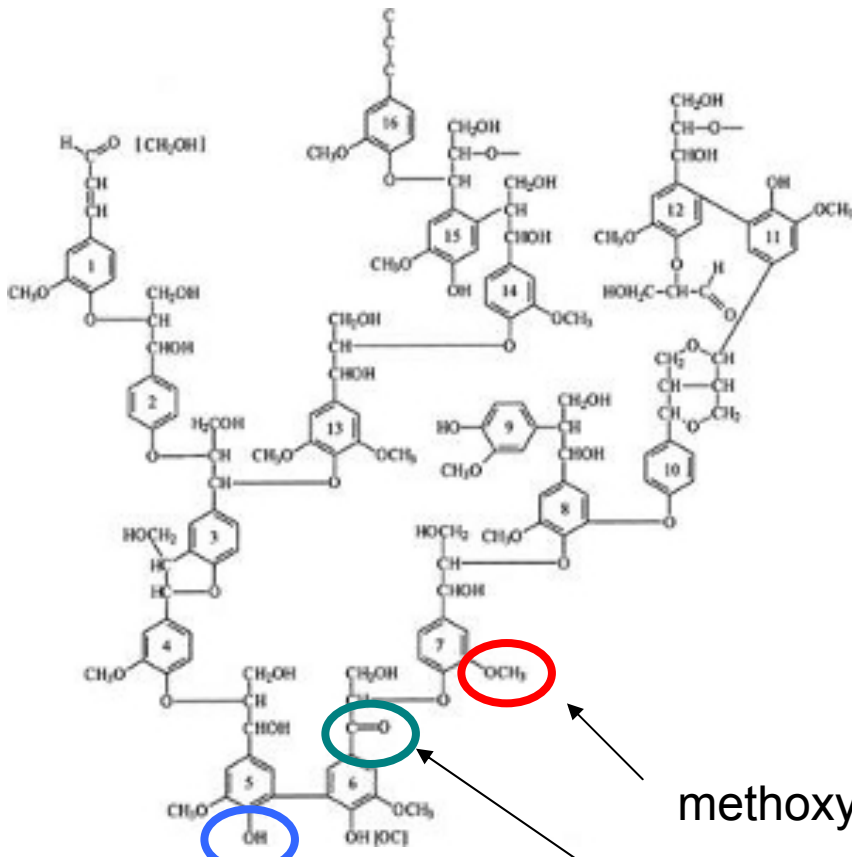
Cellulose (40-50%)



- linear polymer
- long chain (DP 5000-10000)
- B-D-Glucopyranose units
- 1,4-glycosidic bonds
- hydroxyl groups bonds
- different kind in wood:
 - amorphous,
 - semi-crystalline,
 - crystalline

Lignin (20-30%)

Softwood (guaiacyl units) Hardwood (guaiacyl + syringyl units)



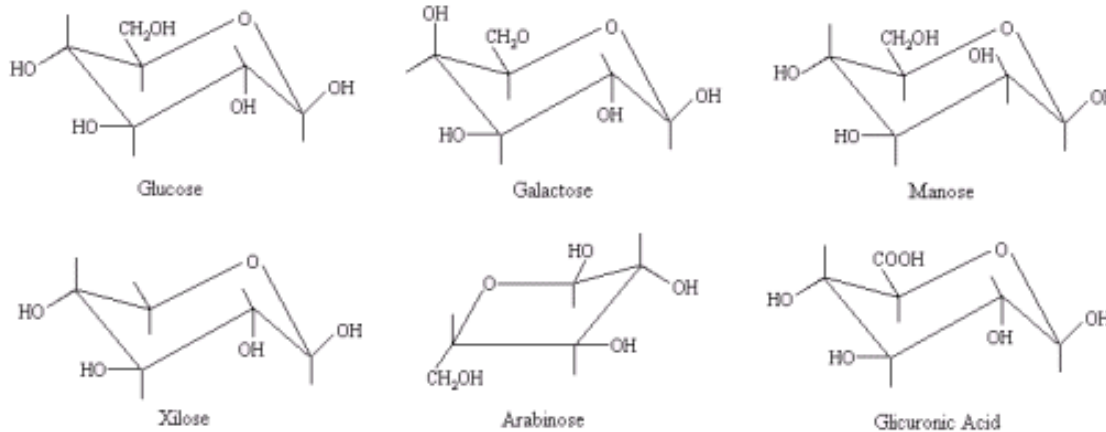
phenolic hydroxyl groups

methoxyl groups

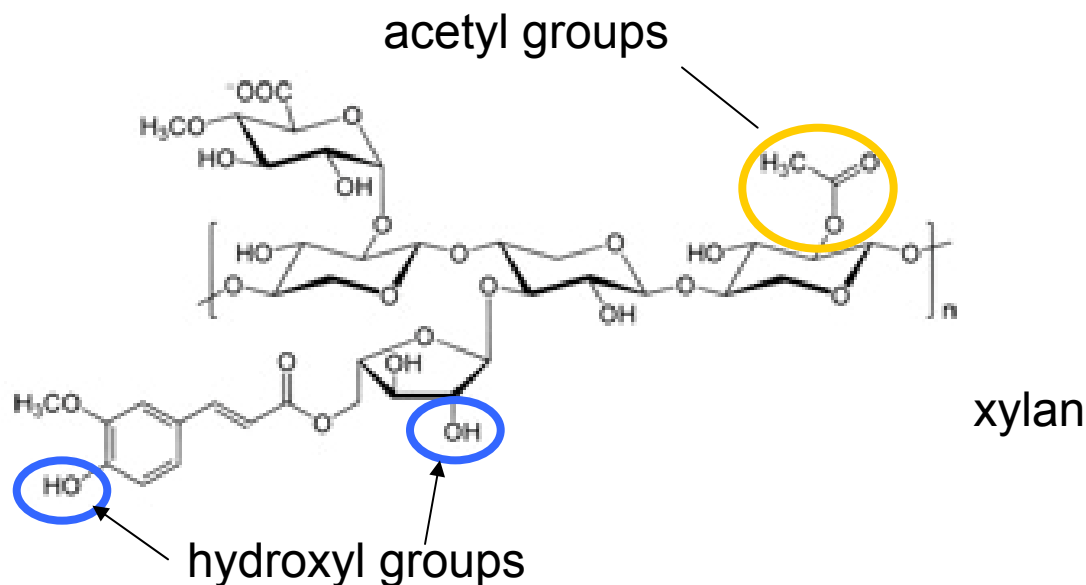
carbonyl groups

aldehyde groups

Hemicellulose (25-35%)



- hetero-polysaccharides
- short chain (DP 150-200)
- amorphous
- high reactivity
- various composition for hardwood and softwood



NIR & biomaterials characterization

- Chemical compositions
(cellulose, lignin, extractives)
- Physical and anatomical characterization
(density, moisture, calorific value, microfibril angle, defects detection, sapwood/heartwood detection)
- Mechanical properties
(compression, tension, MOE, MOR)
- Identification and monitoring others properties of wood
(decay monitoring, weathering, waterlogging, thermal treatment, chemical modifications)
- Paper industry
(weight, thickness, moisture, Kappa number, mechanical resistance, type of pulp/paper)

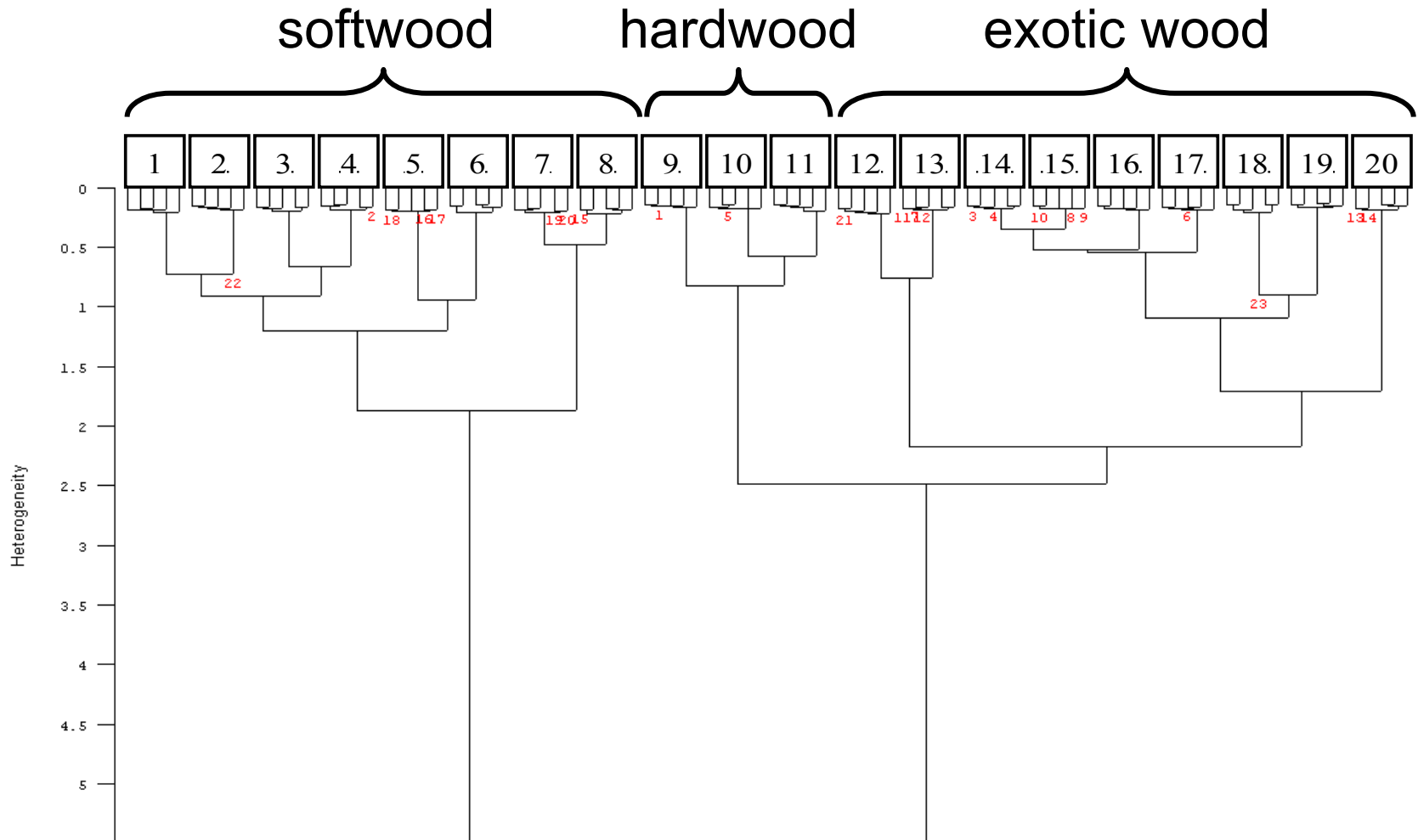
Virgin wood



- Species recognition
- Wood provenance
- Exotic wood evaluation
- Biomass characterization
- Clones recognition

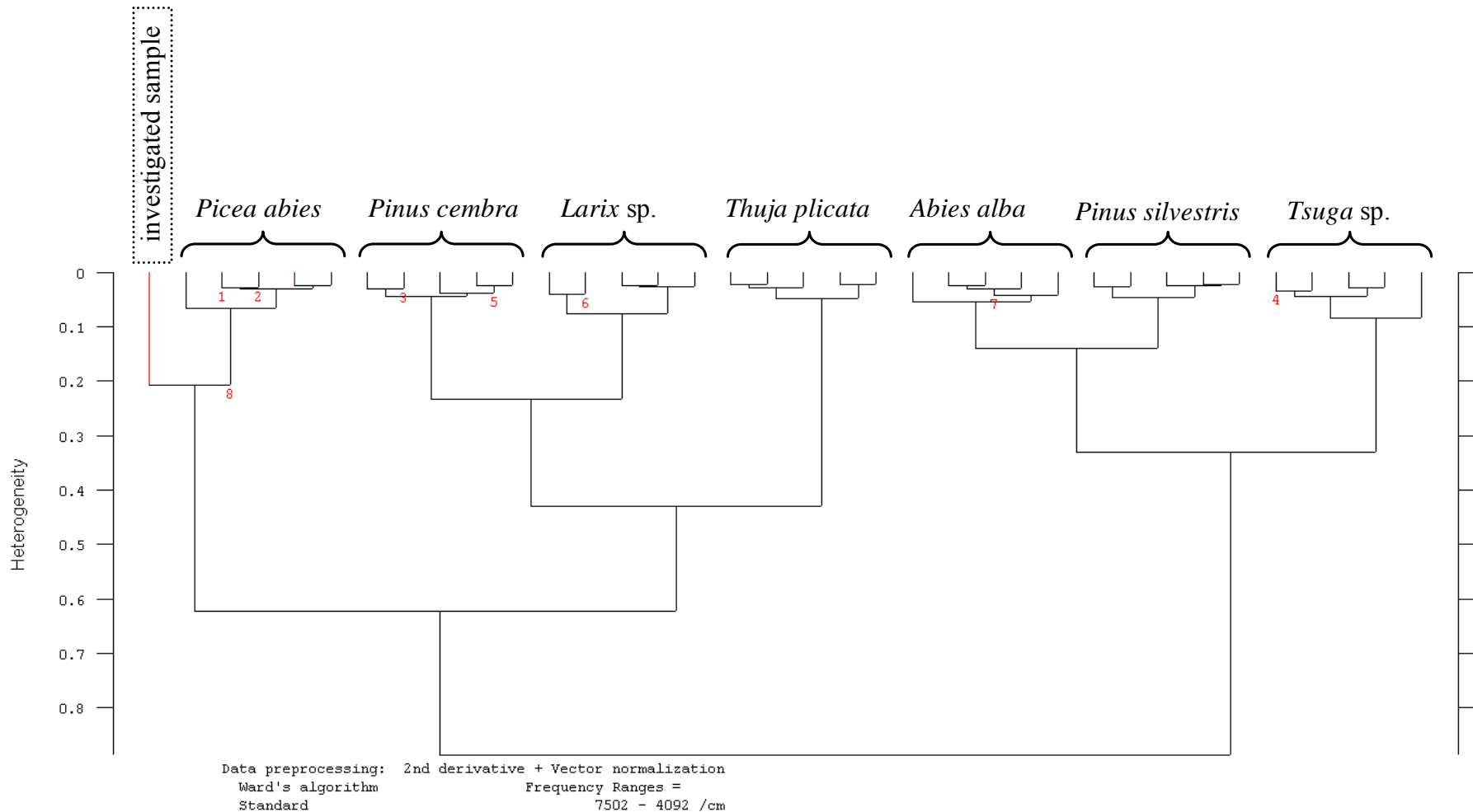


Recognition of wood species



01- Picea abies, 02-Abies alba, 03-Pinus cembra, 04-Larix decidua, 05-Pinus sylvestris, 06-Pinus ponderosa, 07-Tsuga, 08- Thuja sp., 09-Quercus robur, 10-Robinia pseudoacacia, 11-Castanea sativa, 12-Iroko, 13-Afrormosia, 14-Okumè, 15-Sipo, 16-Meranti, 17-Wengè, 18-Azobè, 19-Ipè, 20-Itauba, 21-Pau Rosado, 22-Teck, 23-Bangkirai

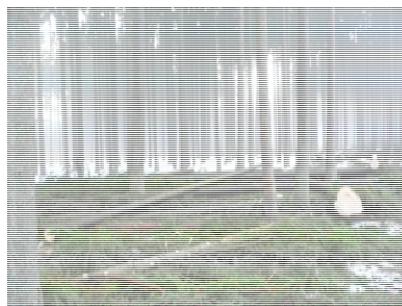
Use of CA for species classification



Wood provenance & NIRS

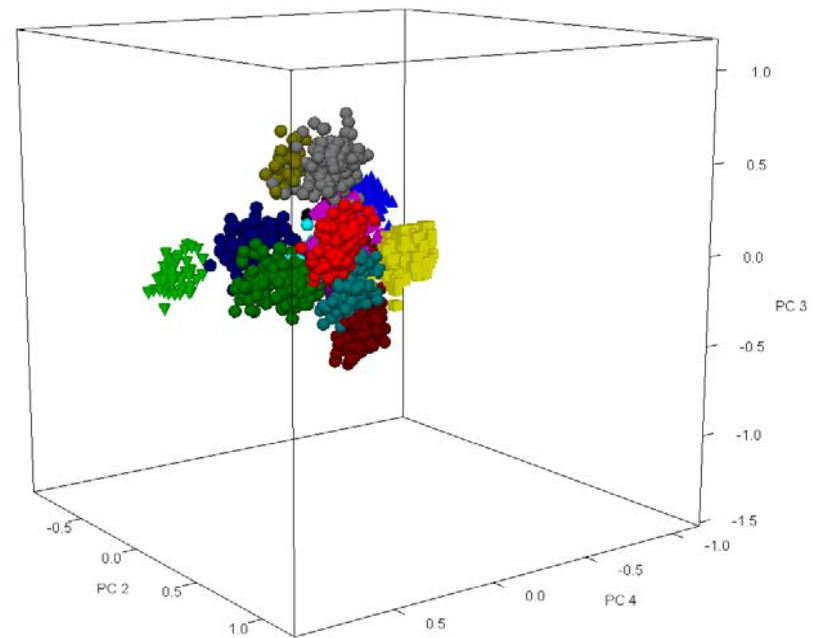
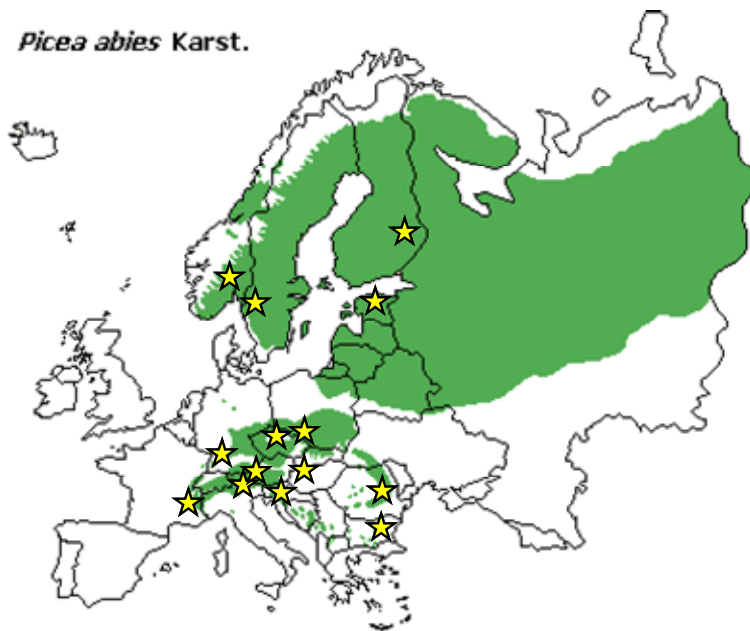
2163 trees of Norway spruce
from 75 location
in 14 European countries

2163 samples measured
x 5 spectra/sample
= **10815 spectra**



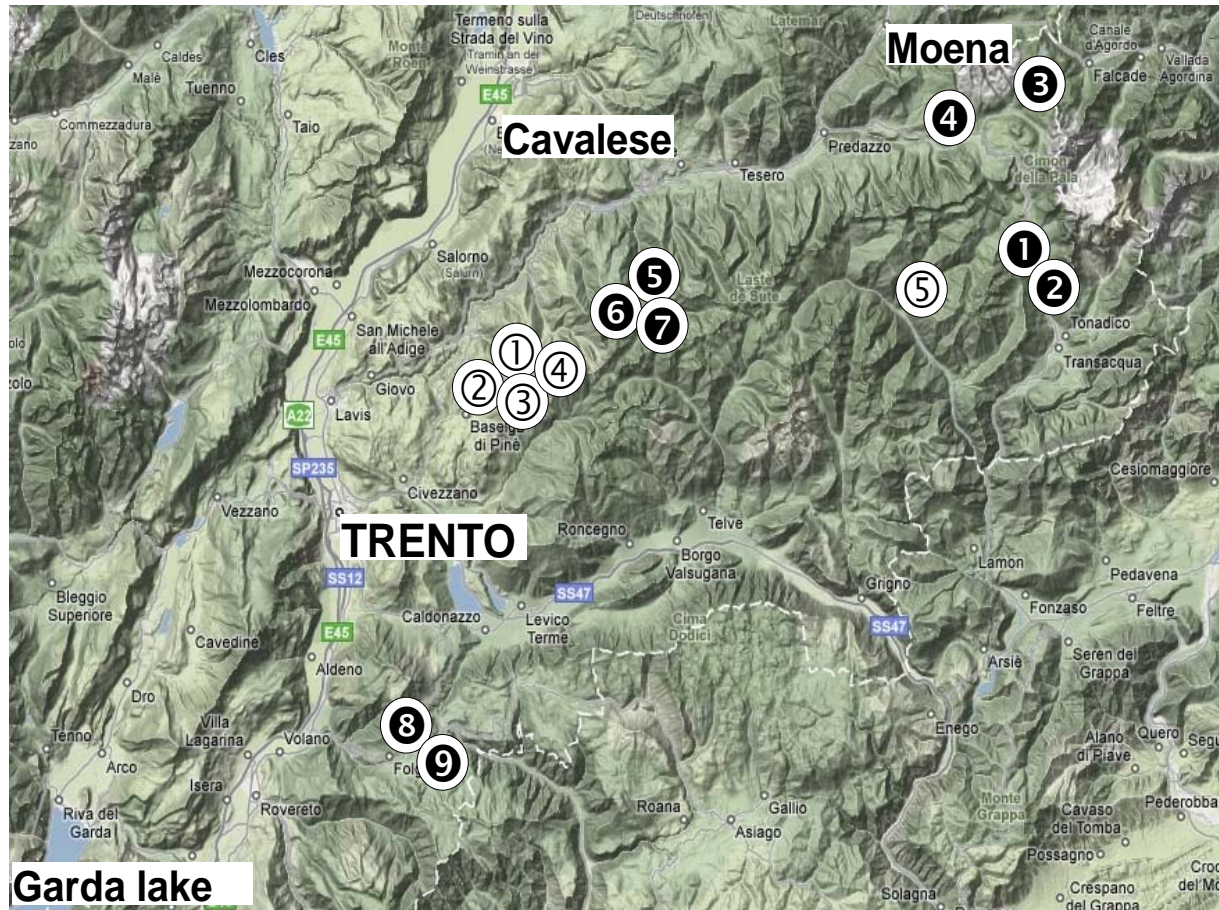
Differentiation of origin

samples from 14 countries (75 locations); totally **10815 spectra** was analyzed and evaluated

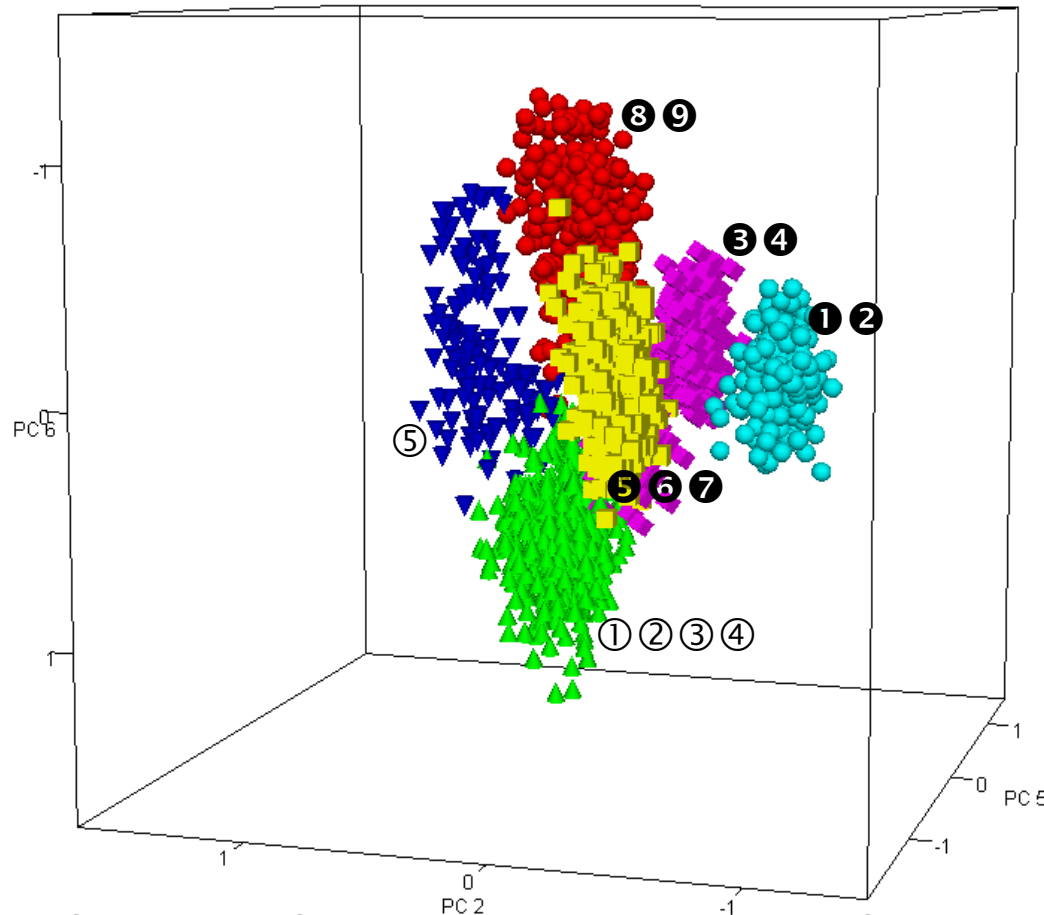


- Austria ▲ Bulgaria ▼ Czech Republic ■ Croatia ■ Estonia ● Finland ● France
● Germany ● Hungary ● Italy ● Norway ● Poland ● Romania ● Sweden

Italy



Principal Component Analysis



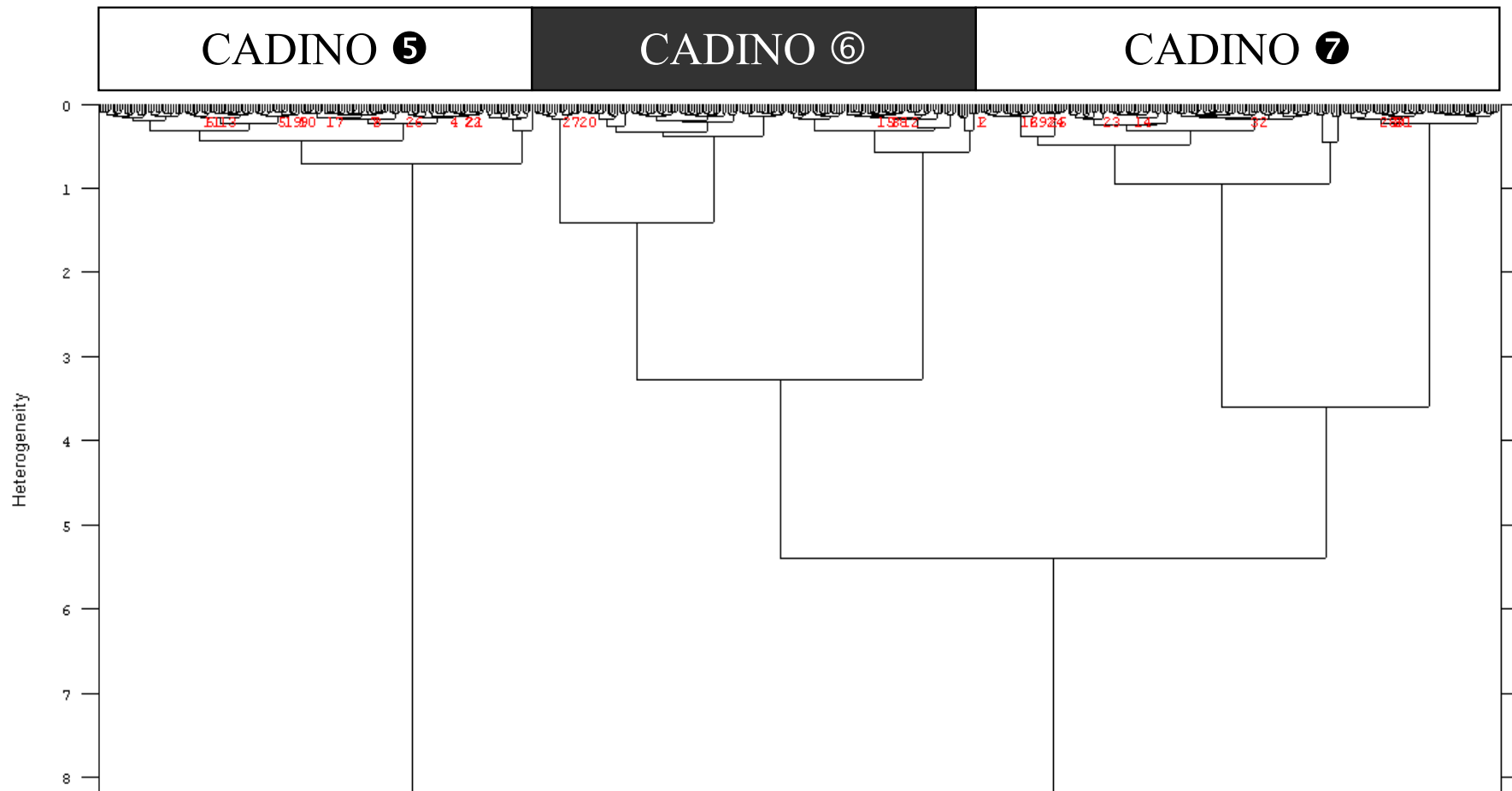
- ① Strada Alta Valcigolera
- ② Strada Orti Forestali,
- ③ Juribello
- ④ Paneveggio
- ⑤ Cadino 06
- ⑥ Cadino 43
- ⑦ Cadino 49
- ⑧ Folgaria 04
- ⑨ Folgaria 22
- ① Monte Sover 7
- ② Monte Sover 11
- ③ Monte Sover 14
- ④ Monte Sover 69
- ⑤ Caoria Valsorda

PCA of spectra of wooden samples coming from six different locations (14 sites) in Trentino region

Note: second derivative, vector normalization, method: factorization (5 factors)

region: 9590-5250 cm^{-1} , 5100-4160 cm^{-1}

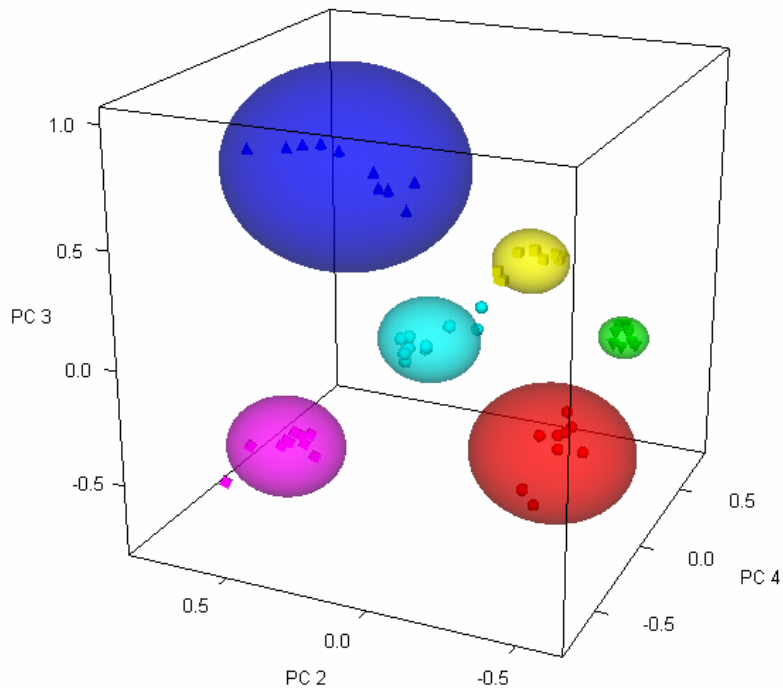
Cluster Analysis



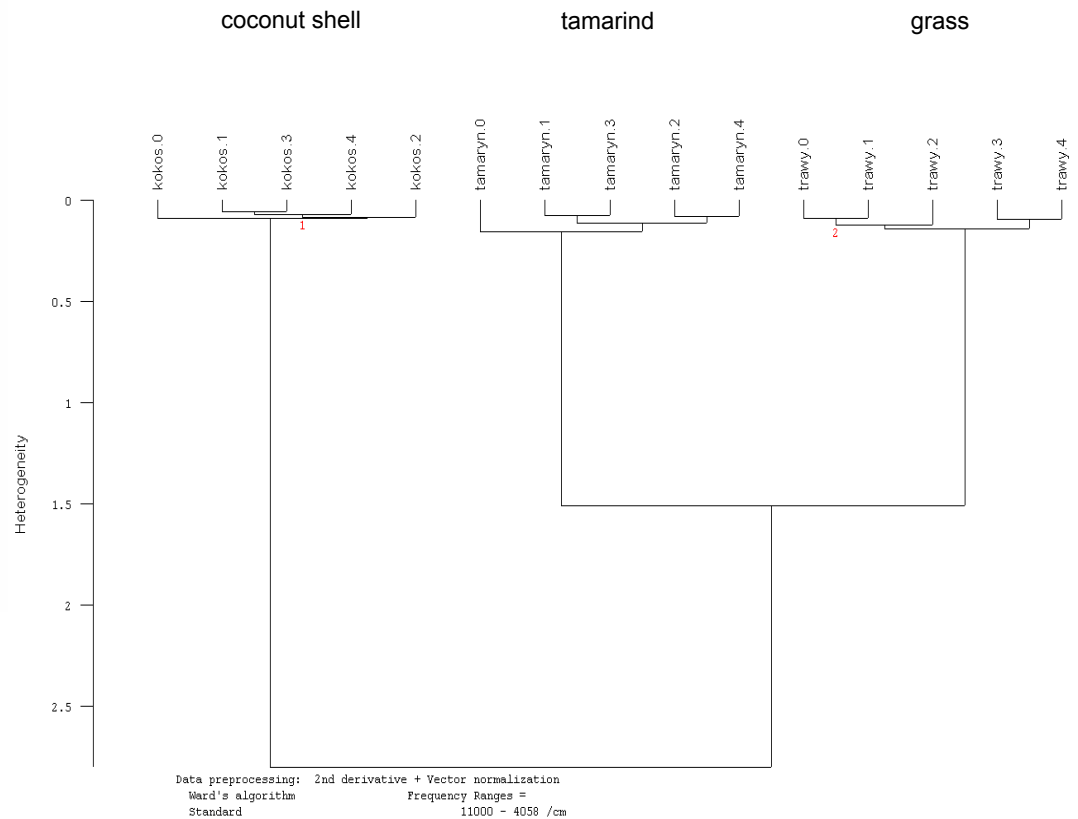
CA – wooden samples from 3 different districts of Cadino valley ⑤⑥⑦

Note: second derivative, vector normalization, Ward's algorithm, region: 7089-5072cm⁻¹

Identification of agricultural residues



-  *Helianthus tuberosus*
-  *Sida hermaphrodite*
-  *Miscanthus sinensis*
-  *Salix viminalis*
-  *Miscanthus giganteus*
-  *Reynoutria sachalinensis*

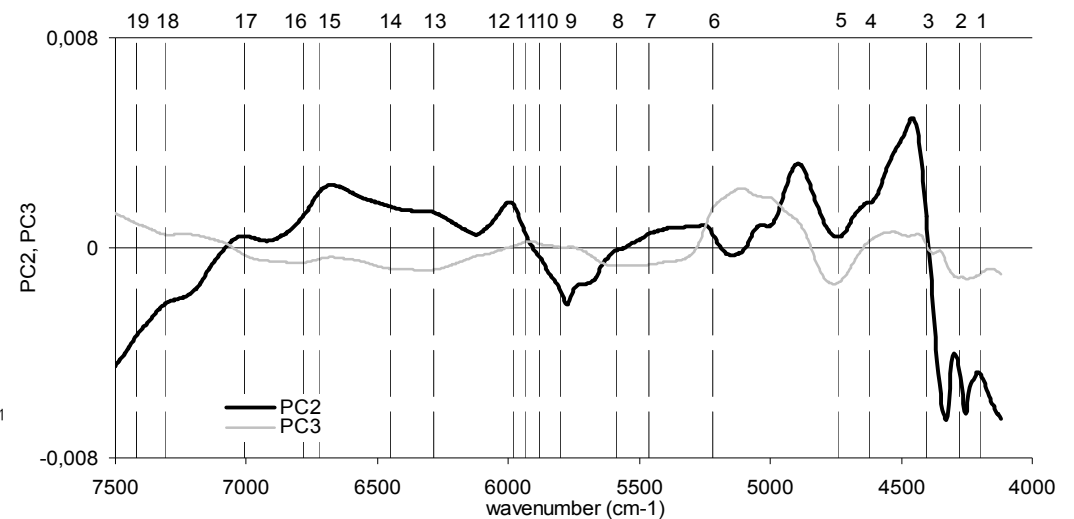
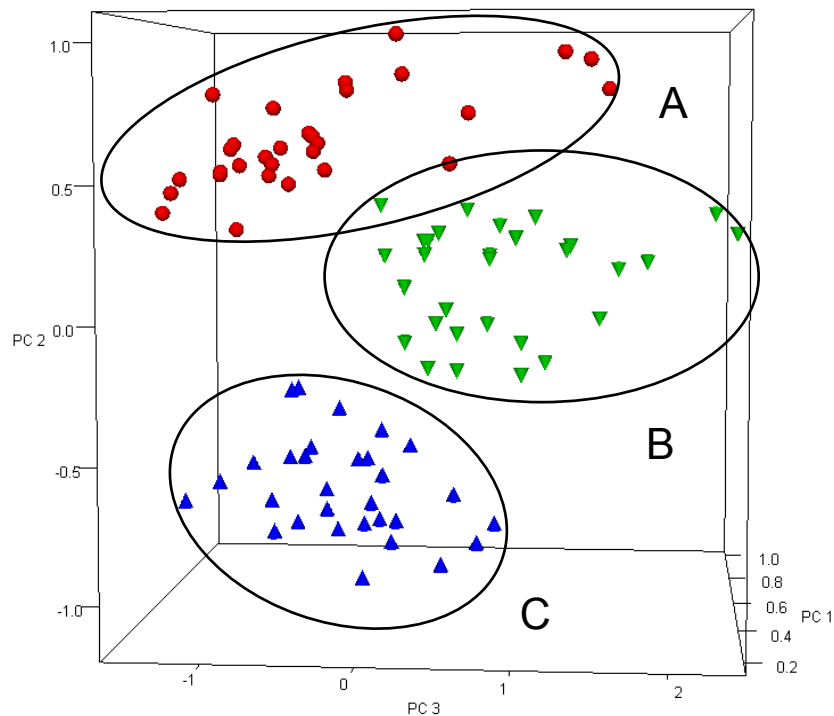


Particleboards characterization

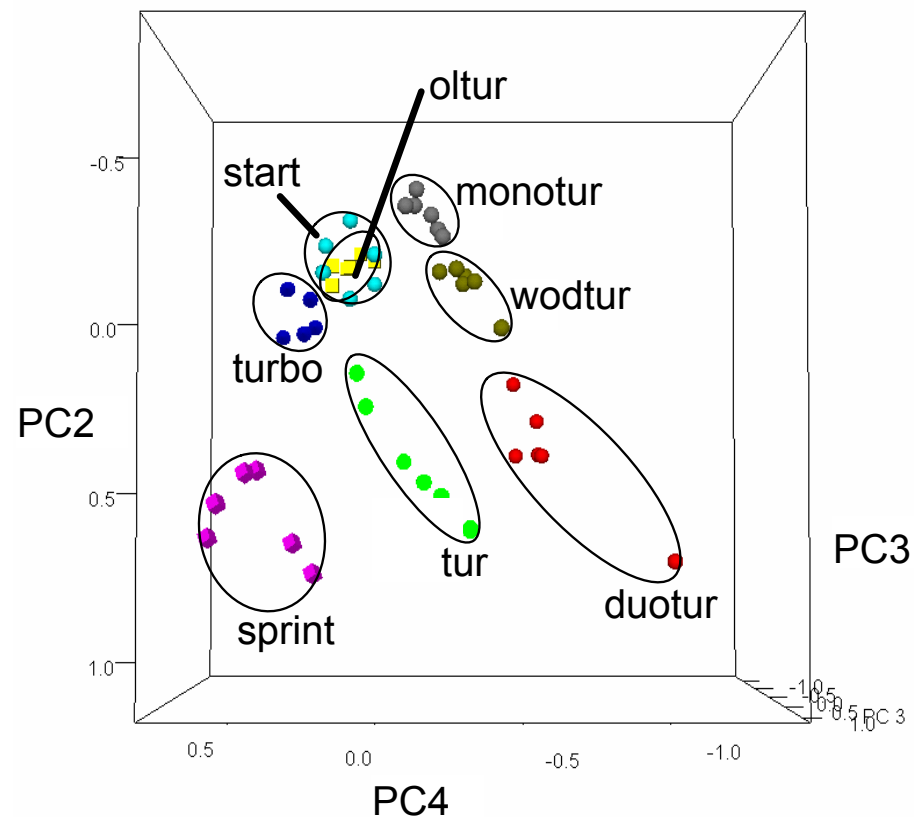
Raw resources: Eastern redcedar (*Juniperus virginiana* L.)

Single- and three-layers panels

manufactured from raw material using 9% urea formaldehyde, combination of 15% modified corn starch and 2% urea formaldehyde adhesive

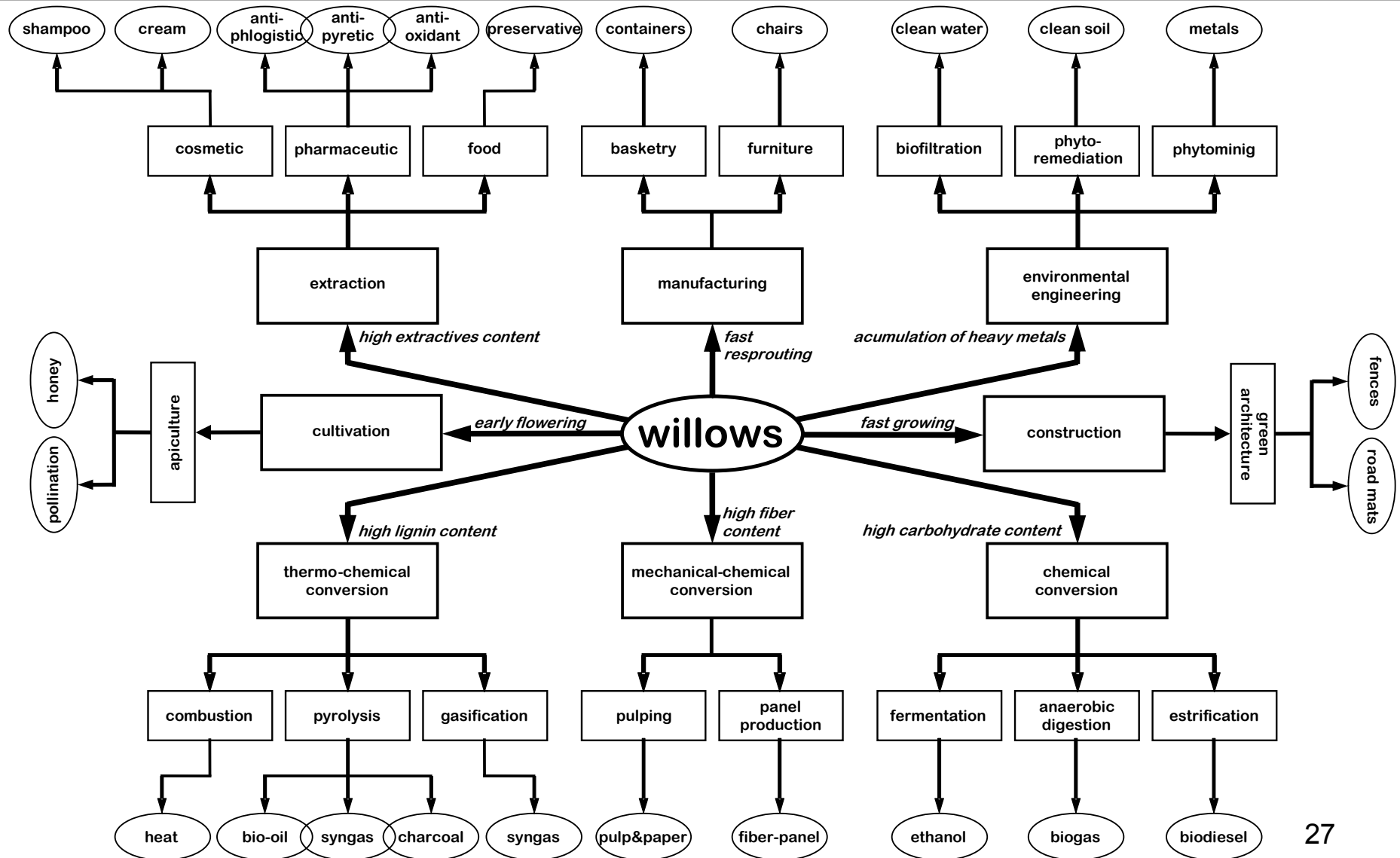


Selection of biomass for optimal bio-conversion process

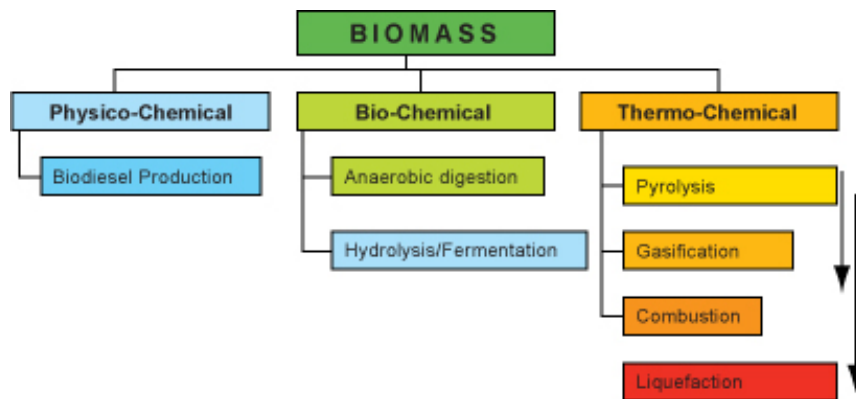


PCA of willow clones. Note: pre-processing:
2nd derivative + vector normalization,
17 smoothing points, region: 6869-5847 cm^{-1} ,
method: factorization, 3 factors

Willows utilization



Suggested conversion path



<http://www.seco.cpa.state.tx.us/publications/renewenergy/biomassenergy.php>

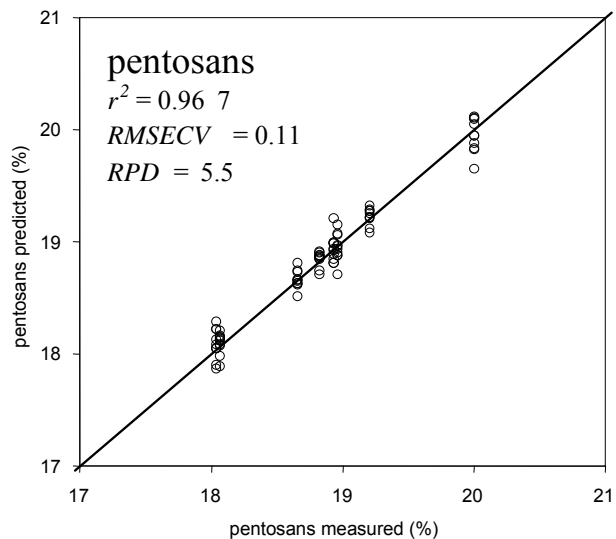
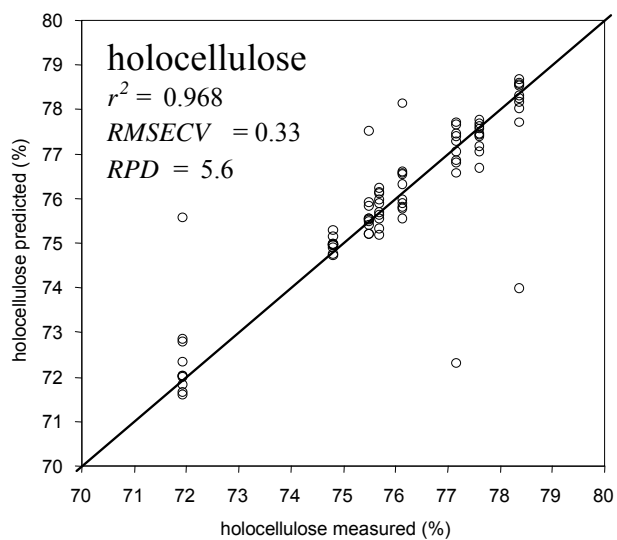
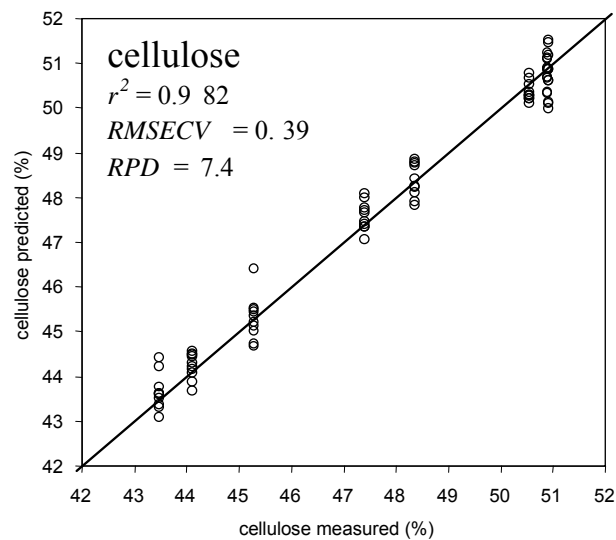
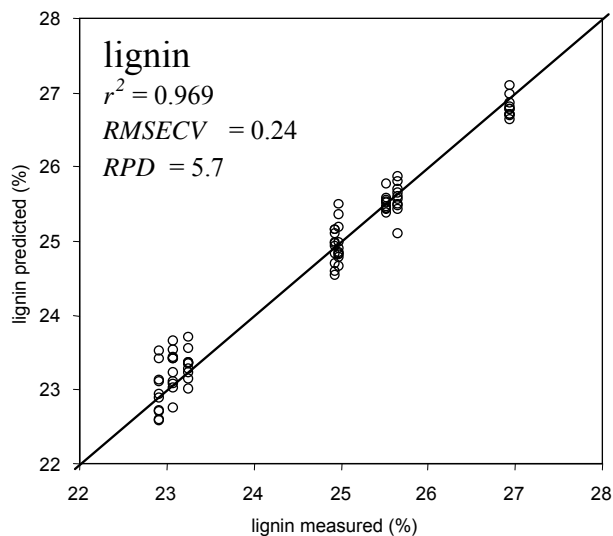
	thermo-chemical	mechano-chemical	chemical	pharmaceutical	phytoremediation		
					Zn	Pb	Cu
#1	✓ 0.93	✗ 0.07	✗ 0.13	✓ 0.96	✗ 0.19	✗ -0.10	✗ 0.00
#2	✓ 0.98	✗ 0.04	✗ -0.01	✓ 0.98	✗ 0.44	✗ -0.03	✗ 0.02
#3	✓ 0.97	✗ 0.13	✗ 0.01	✓ 1.02	✗ 0.57	✓ 0.88	✓ 0.33
#4	✓ 1.05	✗ -0.07	✓ 1.04	✓ 0.99	✗ 0.61	✓ 0.99	✗ 0.20
#5	✓ 0.89	✗ 0.13	✗ 0.19	✓ 0.80	✗ 0.57	✗ -0.06	✓ 0.19
#6	✗ 0.05	✗ -0.05	✗ -0.13	✓ 1.14	✓ 0.68	✗ 0.15	✗ 0.42
#7	✗ -0.08	✓ 0.78	✗ 0.02	✗ 0.03	✗ 0.33	✗ -0.07	✗ 0.50
#8	✗ 0.09	✓ 1.10	✗ -0.07	✗ -0.11	✗ 0.06	✗ 0.00	✗ -0.03
#9	✗ -0.05	✓ 0.90	✗ -0.06	✗ 0.02	✗ 0.28	✗ 0.15	✗ 0.30
#10	✗ -0.03	✗ 0.04	✗ 0.07	✗ 0.03	✗ 0.09	✗ 0.14	✗ -0.11
#11	✗ -0.02	✗ -0.05	✓ 1.01	✗ -0.06	✓ 1.19	✓ 0.84	✗ 0.31
#12	✗ -0.04	✗ -0.07	✓ 1.05	✗ -0.04	✓ 1.32	✗ -0.15	✗ 0.22
#13	✗ -0.02	✗ -0.04	✓ 0.93	✗ 0.08	✓ 1.22	✗ 0.32	✗ 0.35
#14	✗ -0.04	✗ -0.04	✓ 0.92	✗ 0.10	✓ 1.00	✓ 0.86	✓ 0.66
#15	✓ 1.11	✓ 0.95	✓ 0.84	✗ 0.00	✓ 1.04	✗ 0.09	✗ 0.11
#16	✗ 0.16	✓ 1.12	✗ -0.02	✗ 0.06	✓ 1.31	✓ 1.12	✓ 0.60
#17	✗ 0.06	✗ 0.06	✓ 1.07	✗ 0.01	✓ 1.10	✗ -0.14	✗ -0.05
Discrimination rule	high HHV	high content of:			high accumulation of:		
Threshold rule	$HHV_{exp} > 20.0$	cellulose $X_c > 38\%$	hemicellulose $X_{he} > 34\%$	extractives $X_e > 11\%$	Zn $X_{Zn} > 55\text{ppb}$	Pb $X_{Pb} > 8\text{ppb}$	Cu $X_{Cu} > 8\text{ppb}$
Average prediction error	0.06	0.08	0.07	0.06	0.29	0.11	0.29

Chemical analysis

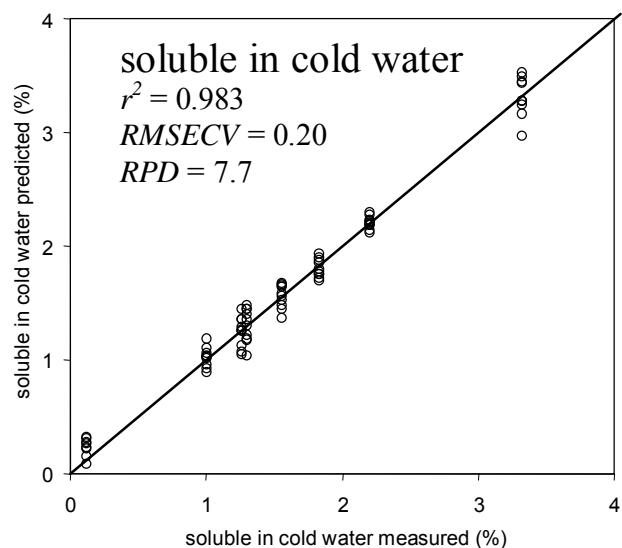
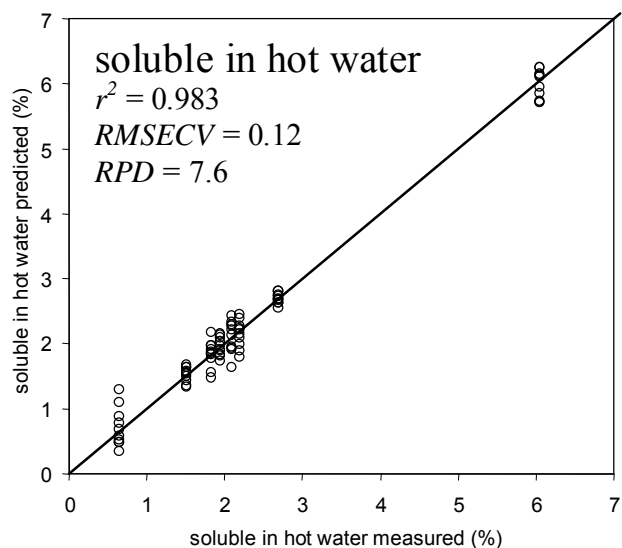
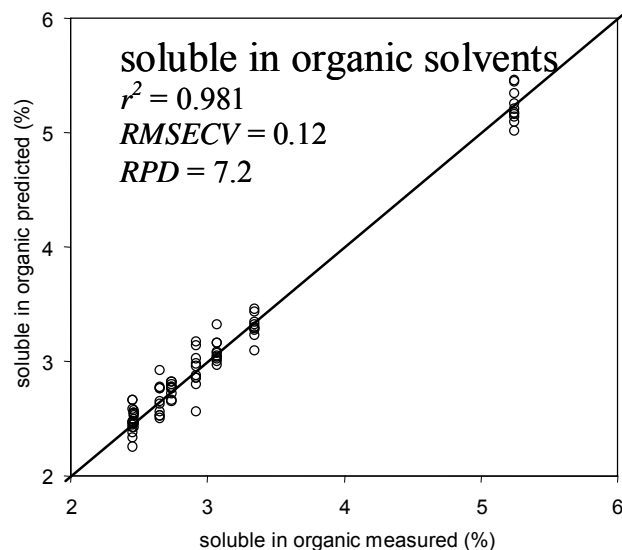
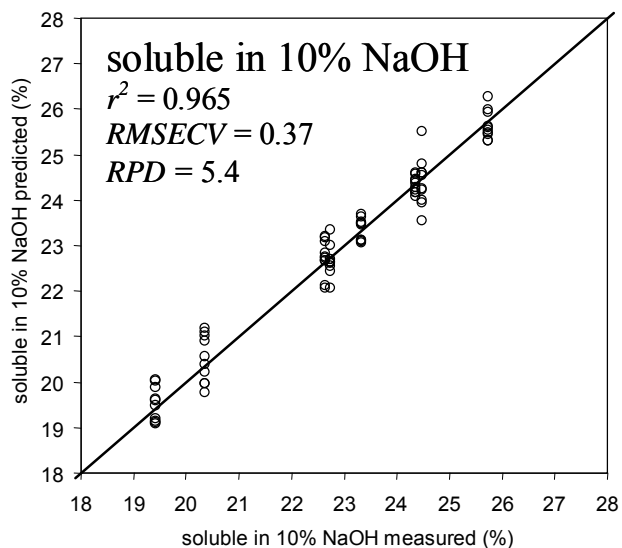
- **cellulose** (by Browning)
- **holocellulose** (by Browning)
- **lignin** (T 222 om-06 TAPPI)
- **pentosans** (T 223 cm-01 TAPPI)
- **hot water extractives** (T 207 cm-08 TAPPI)
- **cold water extractives** (T 207 cm-08 TAPPI)
- **1% NaOH extractives** (T 212 om-07 TAPPI)
- **organic solvent extractives** (T 204 cm-07 TAPPI)



NIR PLS calibration models #1 of willows chemical composition (pilot study)



NIR PLS calibration models #2 of willows chemical composition (pilot study)



Wood modifications & NIRS

- Thermal treatment
- Decay
- ISPM-15
- Densification
- Mechanical testing
- Wood after wood machining
- Coatings
- Weathering
- Service life prediction
- ...

Wood thermal treatment



Termovuoto: open system:
(volatile products of the process are continuously removed from the kiln by means of a vacuum pump)

The treatment time: **3 hours**
Pressure: **0.2 bar**
treatment temperatures of **160, 180, 200 and 220°C**.

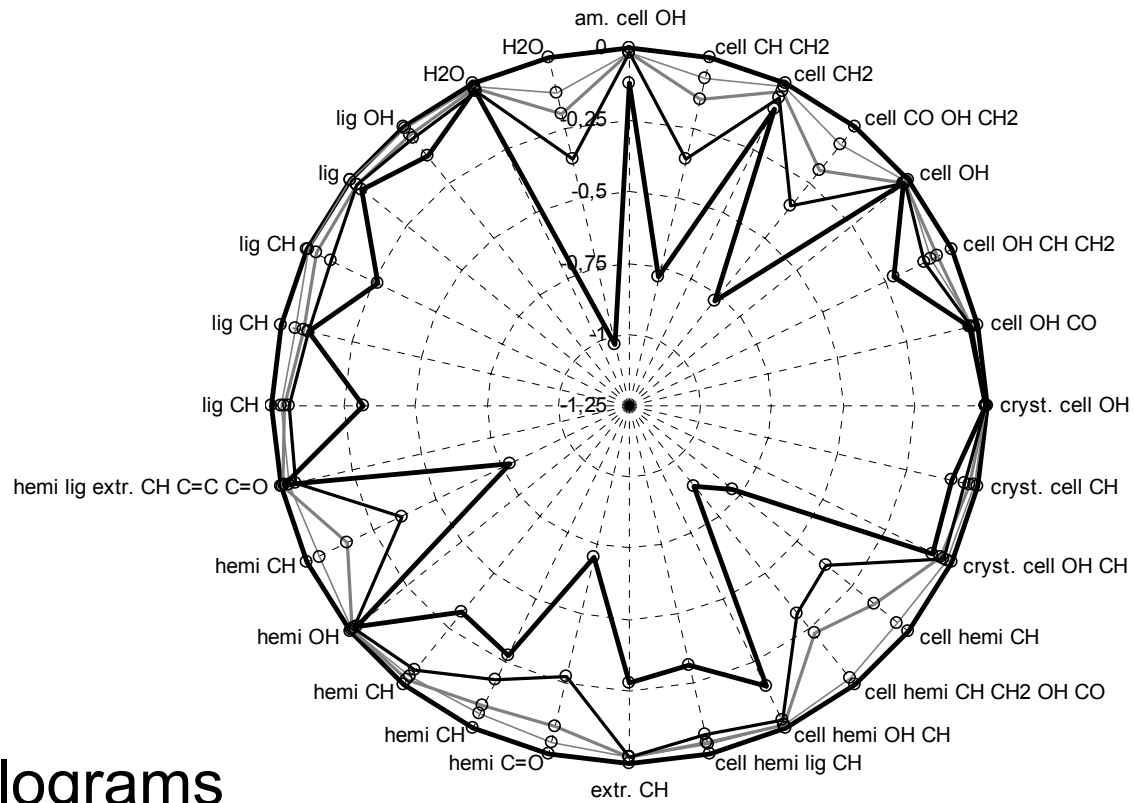
	fir		spruce		larch	
parameter	ML	EMC	ML	EMC	ML	EMC
range (cm ⁻¹)	7502-6098 5450-4246	6102-4246	10996-10098 9303-8501 5018-4435	8505-4435	9403-5446	9403-7498 6102-5446
pre-processing	1der +MSC	1 der + VN	VN	1 der + VN	1 der + VN	1 der +MSC
r ²	98.67	97.58	98.48	98.83	97.88	97.69
RMSECV	0.26	0.25	0.25	0.17	0.39	0.19
RPD	8.66	6.42	8.11	9.42	6.87	6.58
rank	3	3	3	4	4	5

+
5 hardwoods...
975 spectra

195 samples measured x 5 spectra/sample = **975 spectra**

New spectra presentation

Wild cherry
(*Prunus avium*)

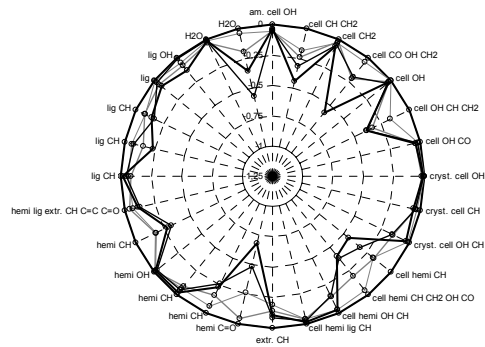


Xylograms; different species

European larch
(*Larix decidua*)



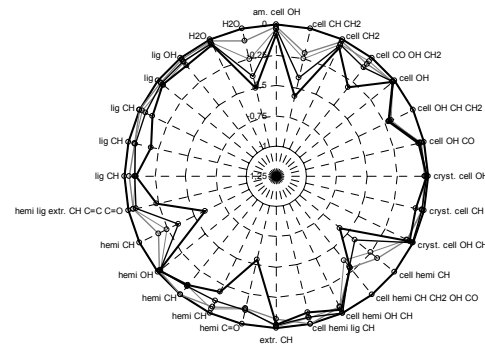
no treated 160°C 180°C 200°C 220°C



Silver fir
(*Abies alba*)



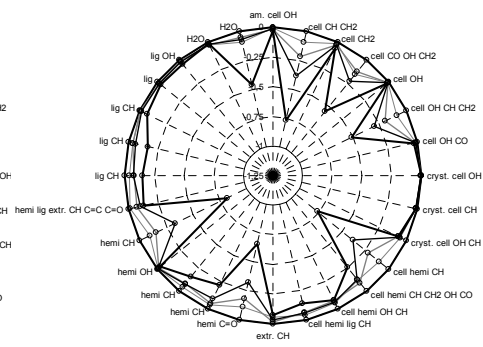
no treated 160°C 180°C 200°C 220°C



Norway spruce
(*Picea abies*)



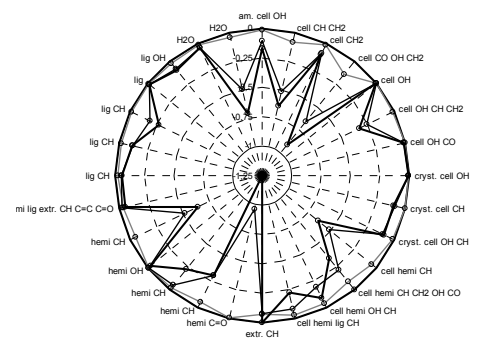
no treated 160°C 180°C 200°C 220°C



Black locust
(*Robinia pseudoacacia*)



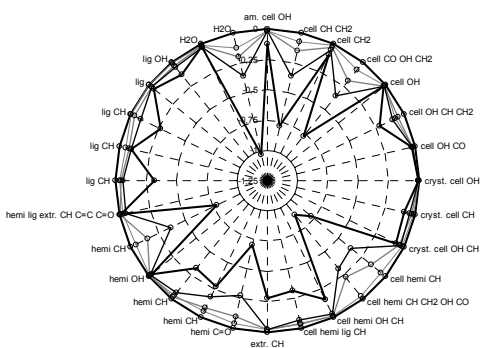
no treated 160°C 180°C 200°C 220°C



Wild cherry
(*Prunus avium*)



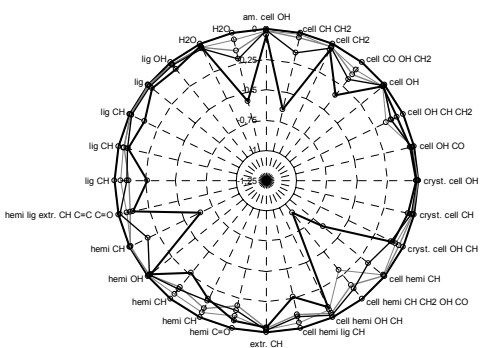
no treated 160°C 180°C 200°C 220°C



European beech
(*Fagus silvatica*)



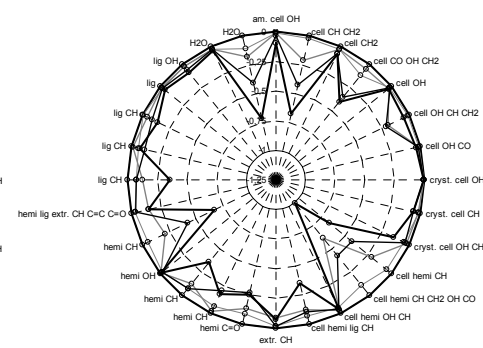
no treated 160°C 180°C 200°C 220°C



European ash
(*Fraxinus excelsior*)



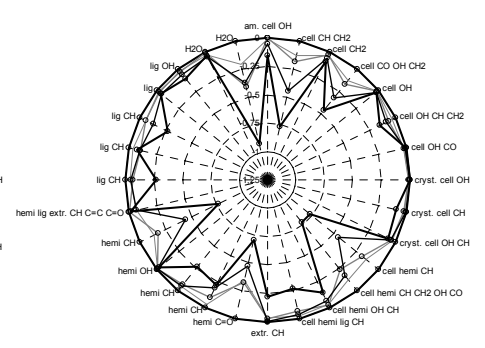
no treated 160°C 180°C 200°C 220°C



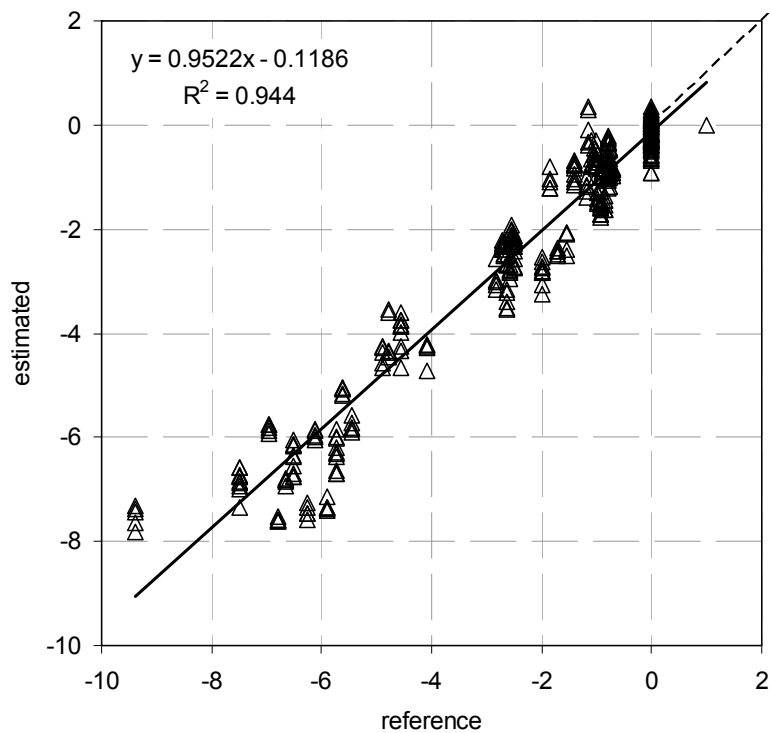
Sessile oak
(*Quercus peraea*)



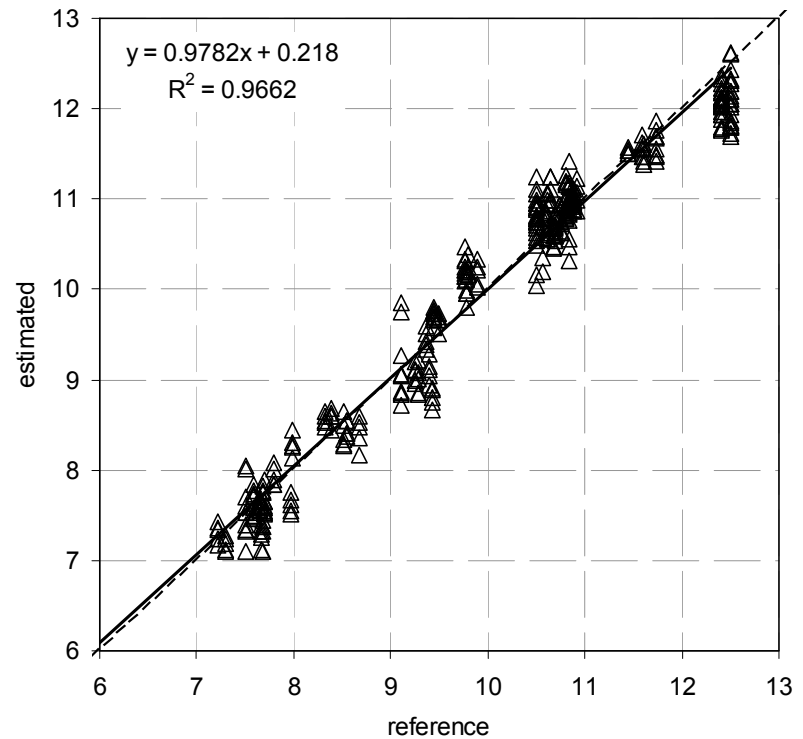
no treated 160°C 180°C 200°C 220°C



PLS models for TM softwoods

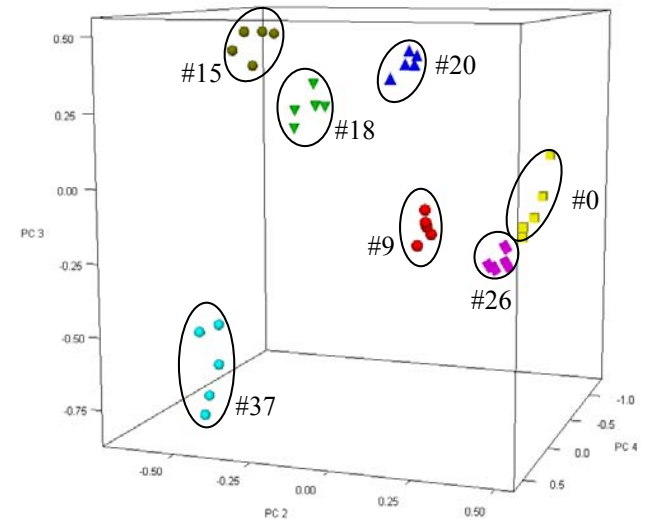
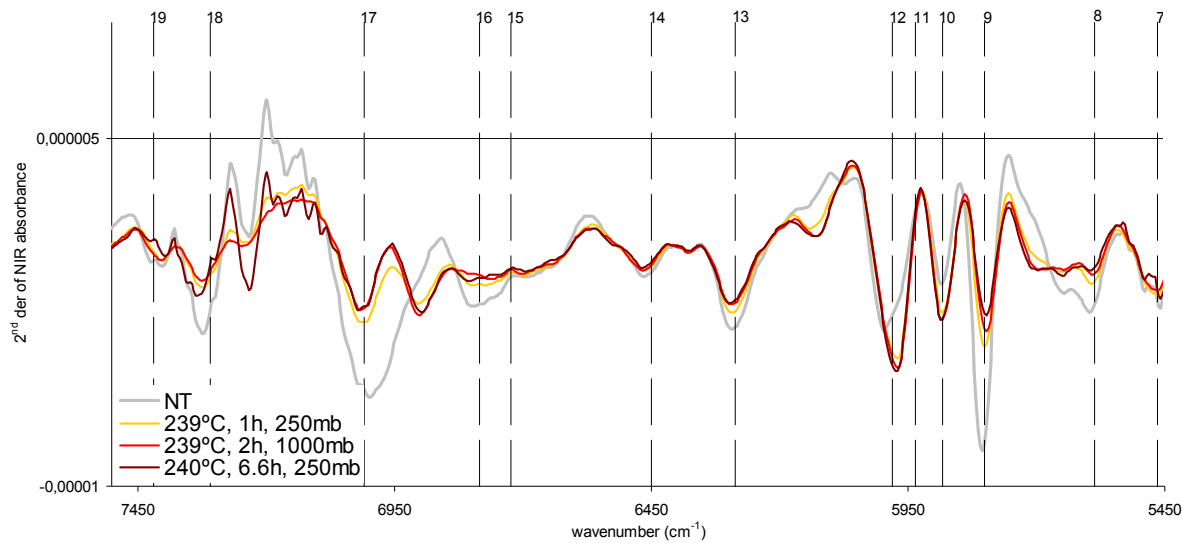


Mass loss



Equilibrium Moisture Content

TM of poplar veneers



#0	NT	
#26	155°C, 1h, 250mb	
#9	174°C, 22h, 250mb	
#20	210°C, 1h, 250mb	
#18	239°C, 1h, 250mb	
#15	239°C, 2h, 1000mb	37
#37	240°C, 6.6h, 250mb	

Wood sterilization

How to detect if the wood was appropriately thermally treated?

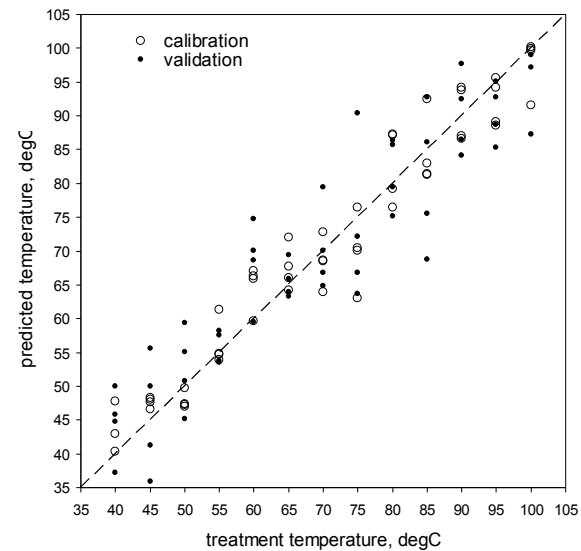
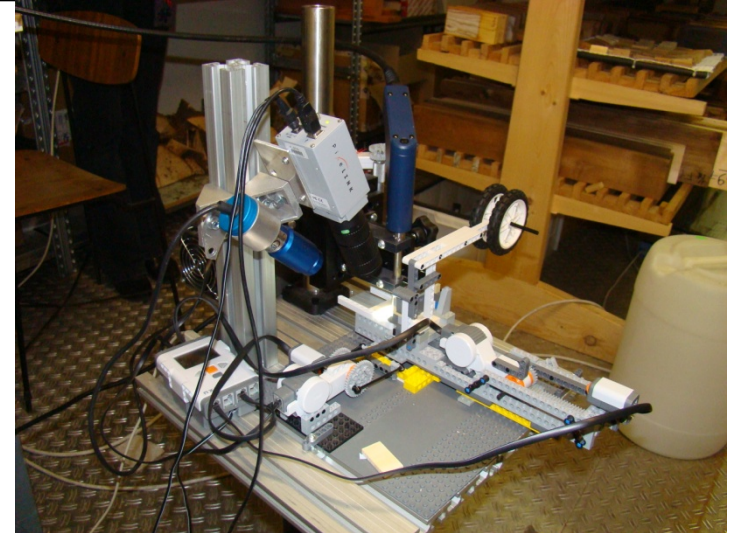
- standard **ISPM N°15**;
 - Temperature: 56°C in the core of wood
 - Treatment time: 30minutes



ISPM-15

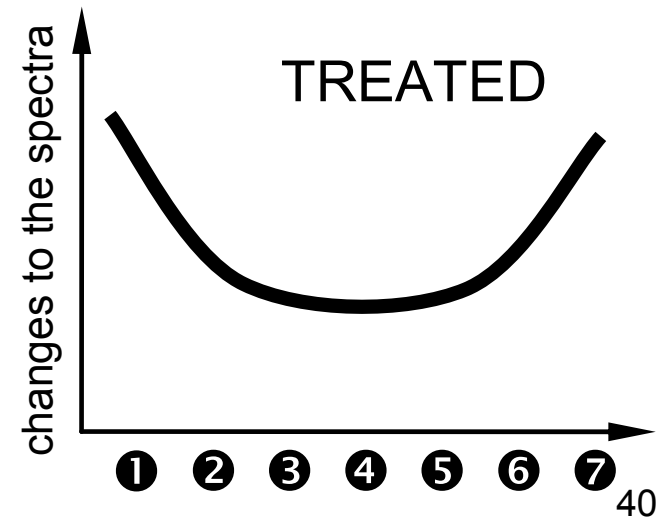
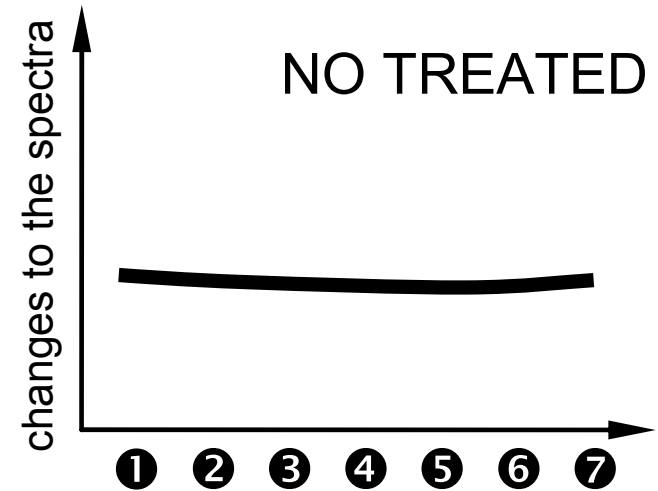
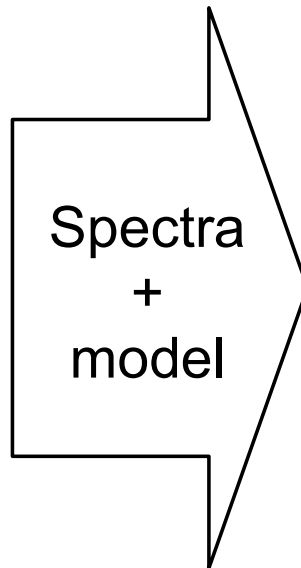
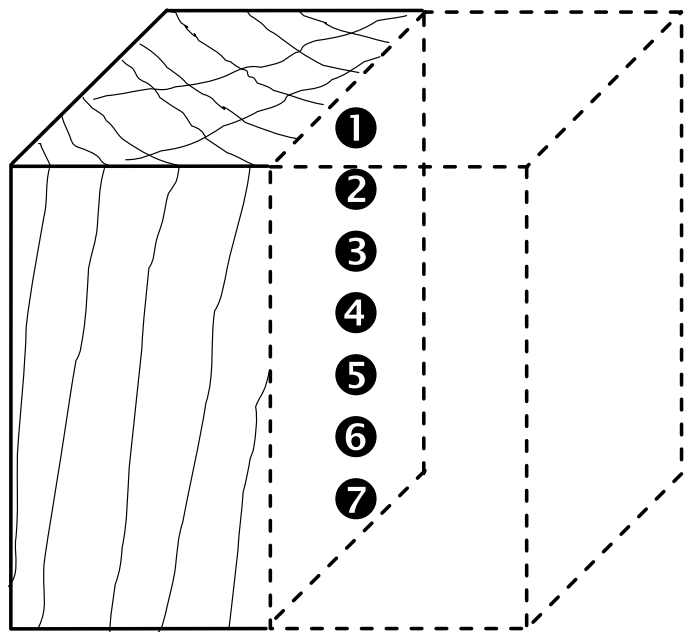
Low temperature thermal treatment of wood

- to investigate an effect of the temperature on wood samples of different wood species:
 - Spruce (softwood with resin)
 - Fir (softwood without resin)
 - Poplar (hardwood)
- different treatment times:
 - 0.5 hours (30 minutes)
 - 1 hour
 - 3 hours
 - 6 hours
- different treatment temperatures:
 - 40, 45, 50, 55, 60, 65, 70, 75, 80, 85, 90, 95, 100°C
- three samples for repetition/statistics
- five-times spectra measurements for analysis of weathering effect:
 - Conditioned after treatment
 - Measured after 1 month
 - Measured after 3 months
 - Measured after 6 months
 - Measured after 12 months

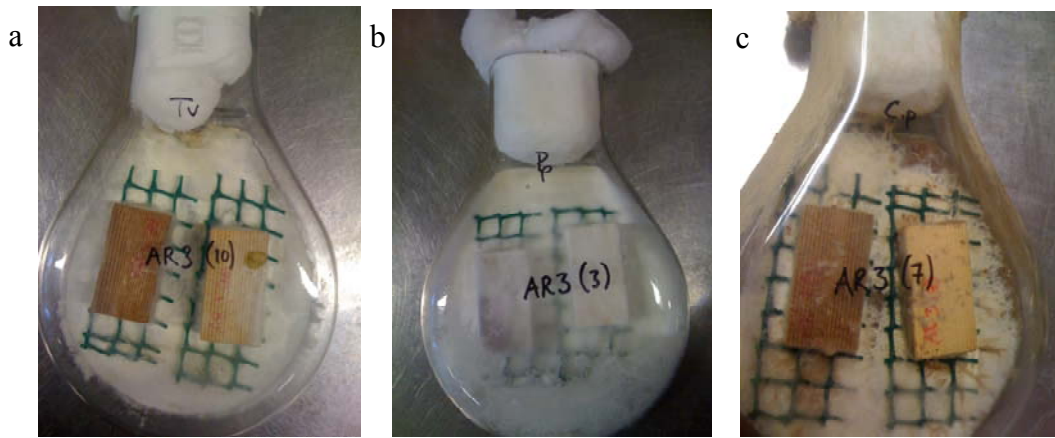


468 samples measured x 5 spectra/sample x 5 repetition/sample = **9360 spectra**

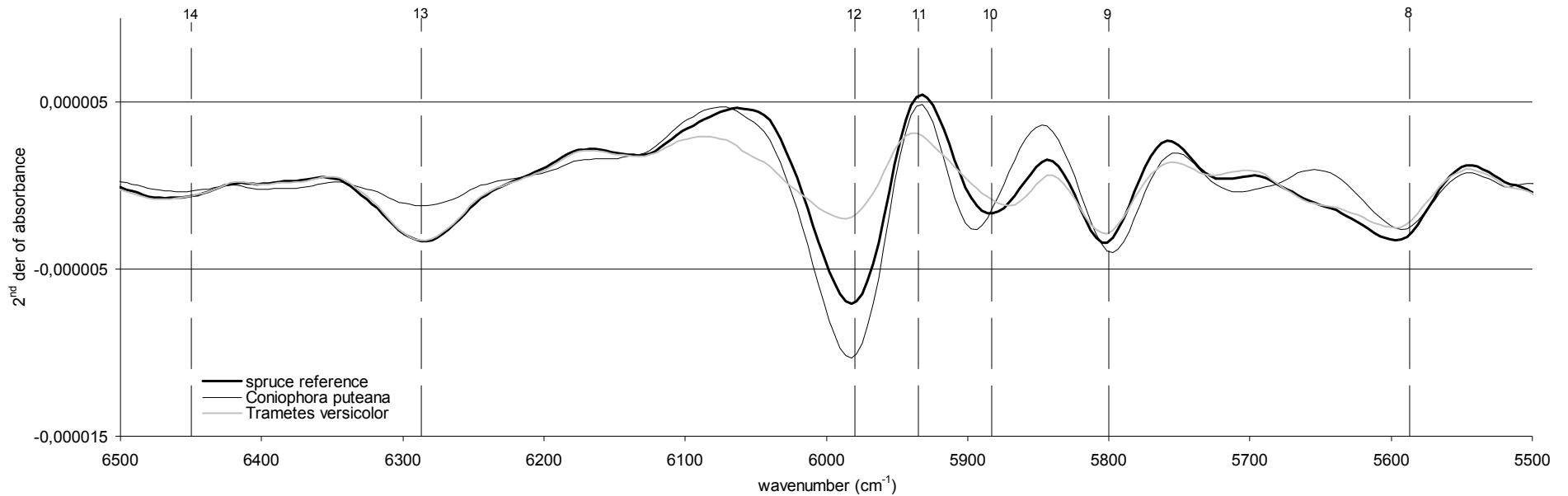
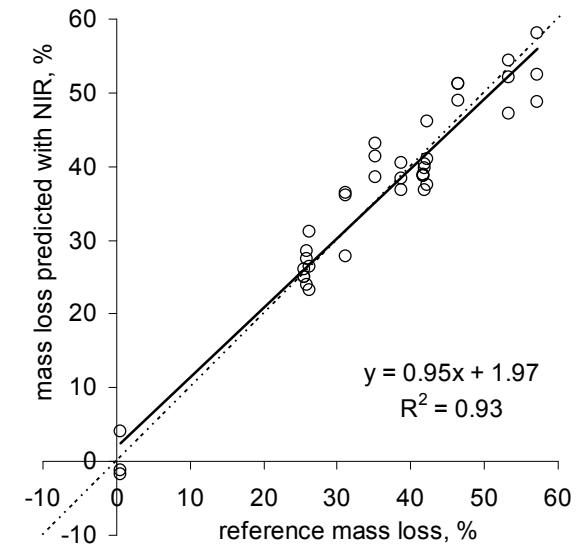
NIR-based method for verification of the ISPM-15 treatment*



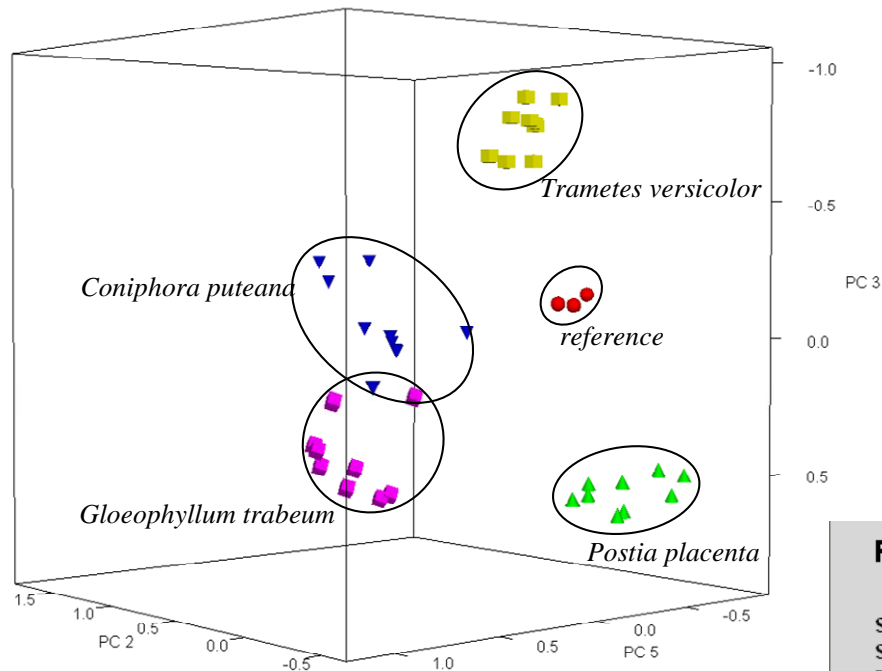
Wood decay



Trametes versicolor *Postia placenta* *Coniophora puteana*



Decay type identification



Result of IDENT evaluation:

Sample name: wood | Av. of 3
Sample: D:\TENNO\Av.right degraded inside.0
Date and time (measurement): 2011/06/06 12:21:35 (GMT+2)
Method file: D:\Nasco wood\analysis\all fungi general classification.faa

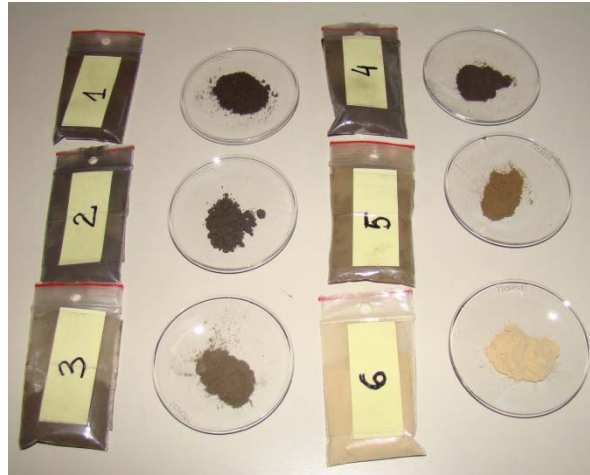
Hit no.	Sample name	Hit qual.	Threshold	Group
1	prova1	0.21635	0.02779	reference
2	prova1	0.51081	0.95521	brown rot
3	prova1	0.90321	0.14314	white rot

IDENTIFIED AS brown rot

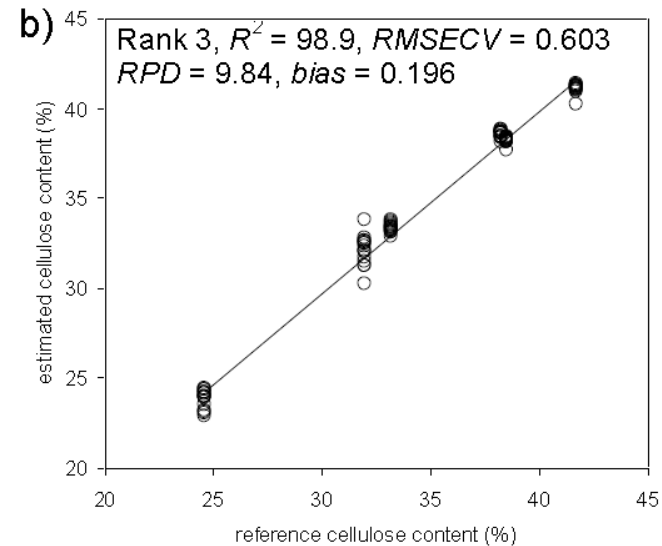
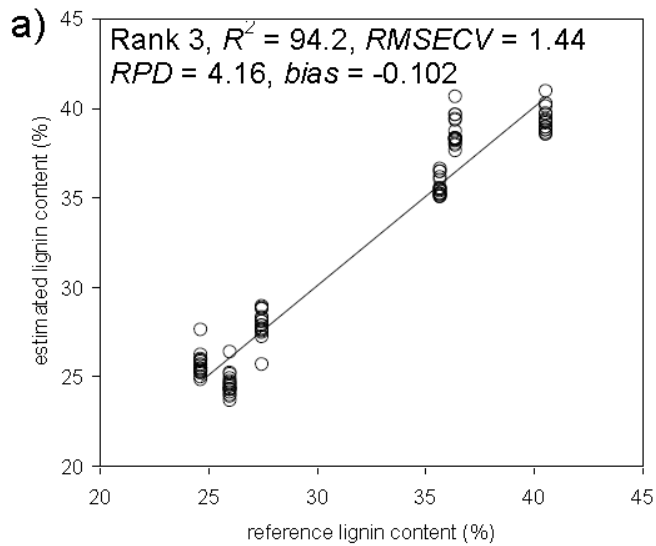
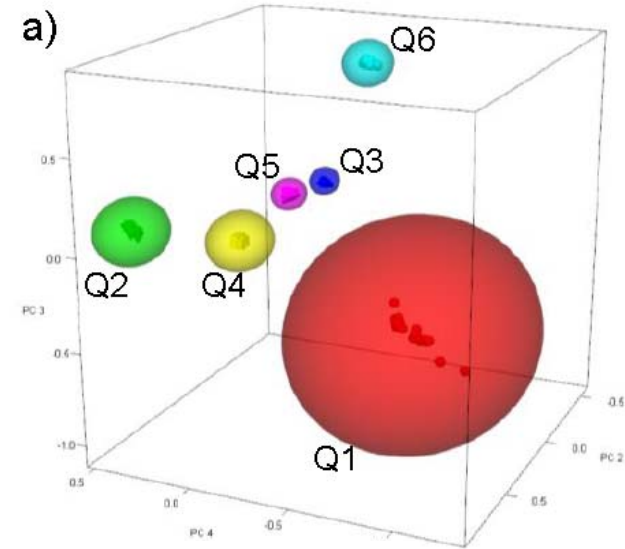


OK

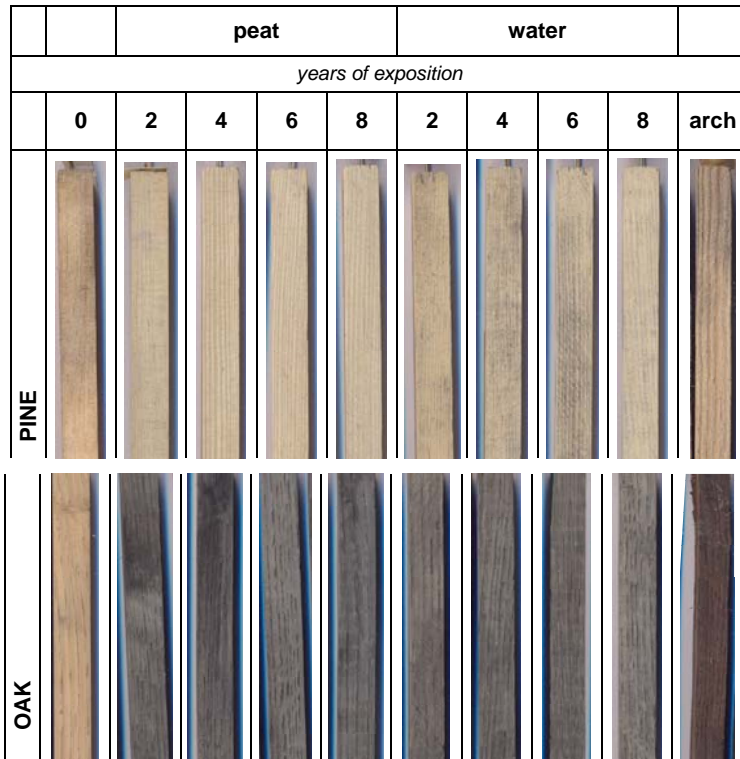
Archaeological wood



700-2700 years old



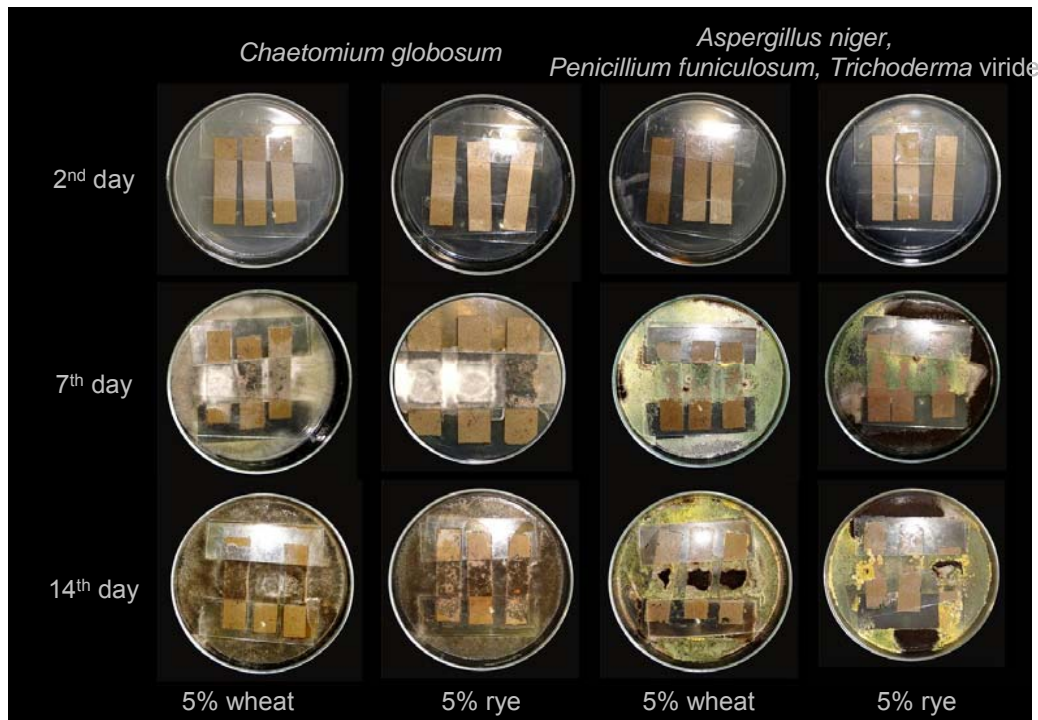
Short term waterlogging



360 samples measured x 3
spectra/sample = **1080**
spectra

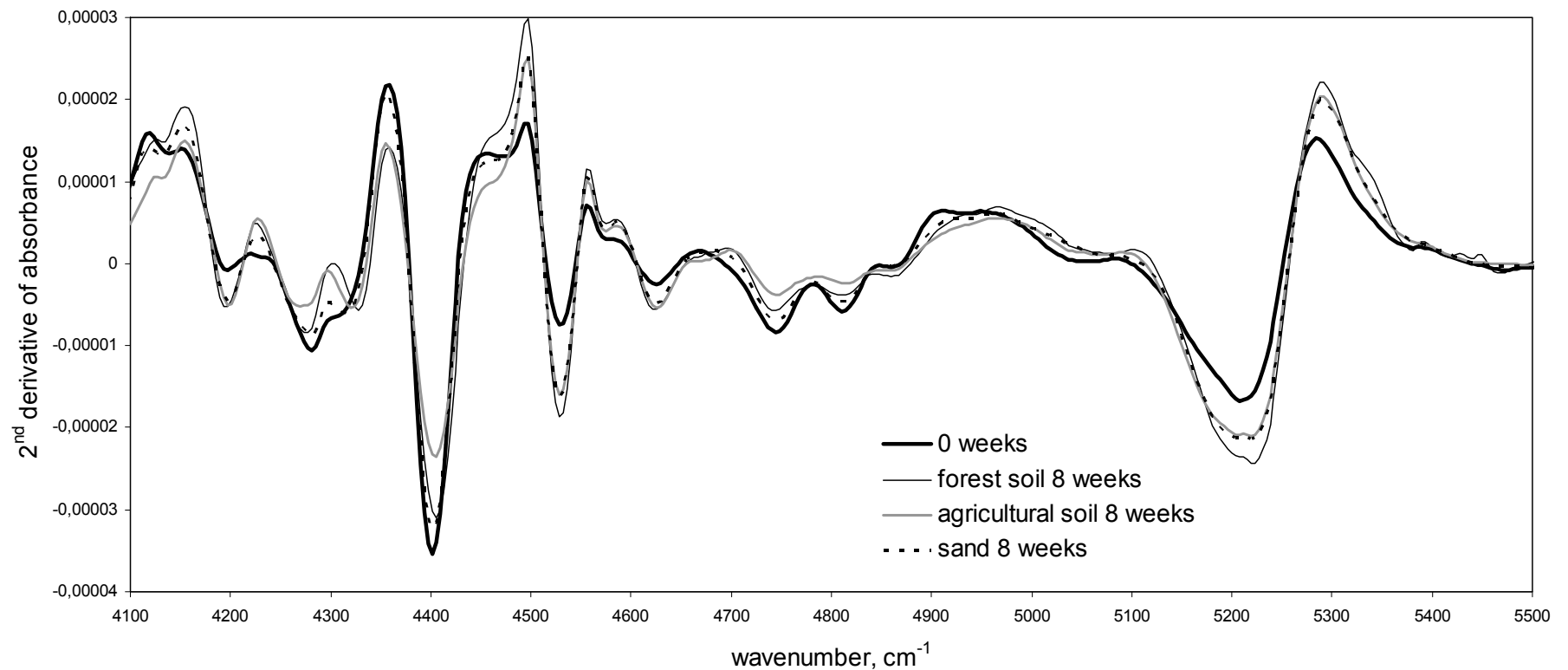
nr	wave number (cm ⁻¹)	band assessment wood component	functional group	pine		oak	
				peat	water	peat	water
1	4195	lignin	not assigned	○	○	×	○
2	4268	cellulose	CH, CH ₂	○	○	○	○
3	4401	cellulose, hemicelluloses	CH, CH ₂ , OH, CO	○	○	○	○
4	4546	lignin	CH, C=O	×	×	○	•
5	4608	cellulose, hemicelluloses	not assigned	×	×	○	×
6	4686	hemicelluloses, lignin, extractives	CH, C=C, C=O	×	×	●	●
7	4739	cellulose	OH	○	○	○	○
8	4808	cellulose semi-crystalline and crystalline	OH, CH	○	○	○	○
9	5051	water	OH	×	×	○	○
10	5198	water	OH center of the range	○	○	○	○
11	5245	hemicelluloses	C=O	○	○	○	○
12	5495	cellulose	OH, CO	×	×	○	○
13	5593	cellulose semi-crystalline and crystalline	CH	○	○	×	○
14	5666	not assigned	CH, CH ₂	●	●	○	○
15	5692	not assigned	CH ₂	●	●	×	×
16	5800	hemicelluloses (furanose / pyranose)	CH	○	○	○	○
17	5865	hemicelluloses	CH	○	○	○	○
18	5935	lignin	CH	○	○	○	○
19	5980	lignin	CH	○	○	○	○
20	6126	cellulose	OH	○	○	×	×
21	6286	cellulose crystalline	OH	○	○	○	○
22	6334	cellulose	OH	×	×	×	×
23	6472	cellulose crystalline	OH	○	○	×	×
24	6715	cellulose semi-crystalline	OH	○	○	○	○
25	7003	amorphous cellulose, water	OH	○	○	○	○
26	7092	lignin, extractives	OH	○	○	○	○

Paper & NIR



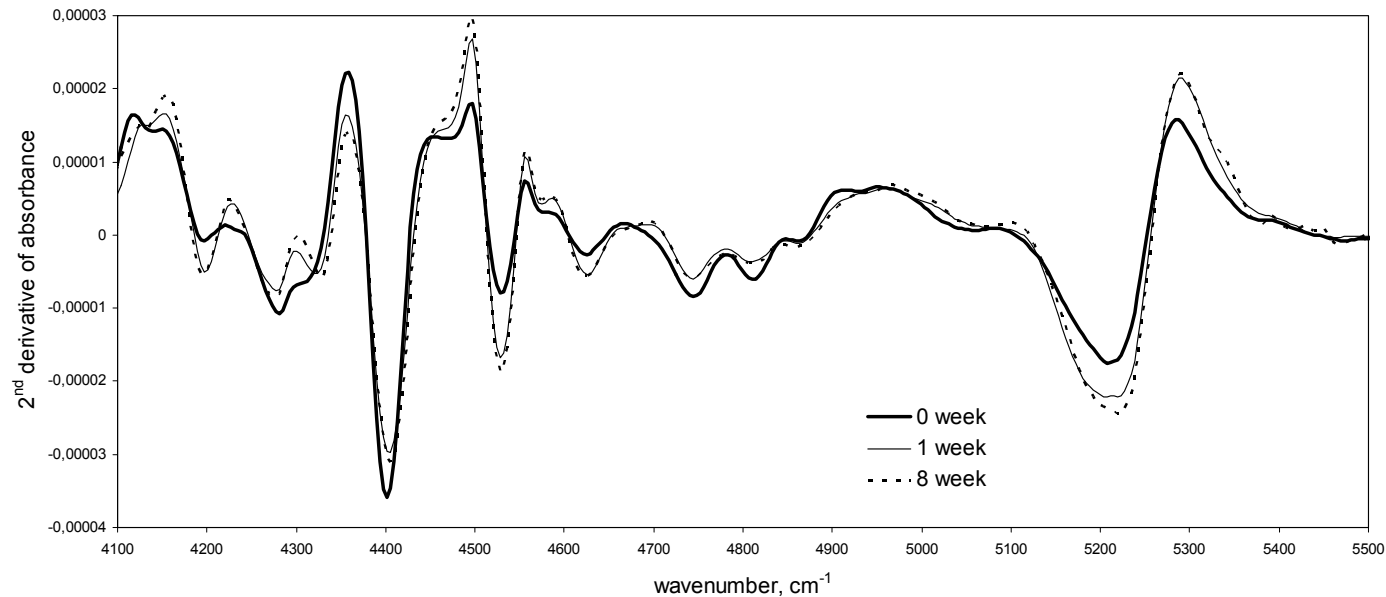
3240 samples measured
x 3 spectra/sample =
9720 spectra

Effect of soil type



biodegradation of the recycled paper with addition of 5% wheat bran

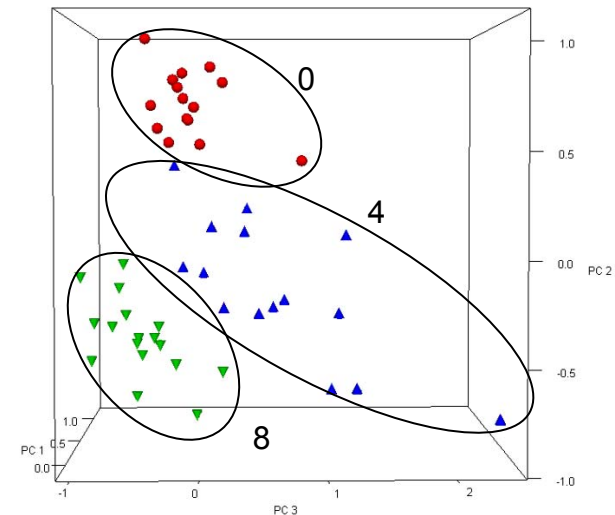
Effect of time



biodegradation of the recycled paper with addition of 5% wheat bran in the forest soil

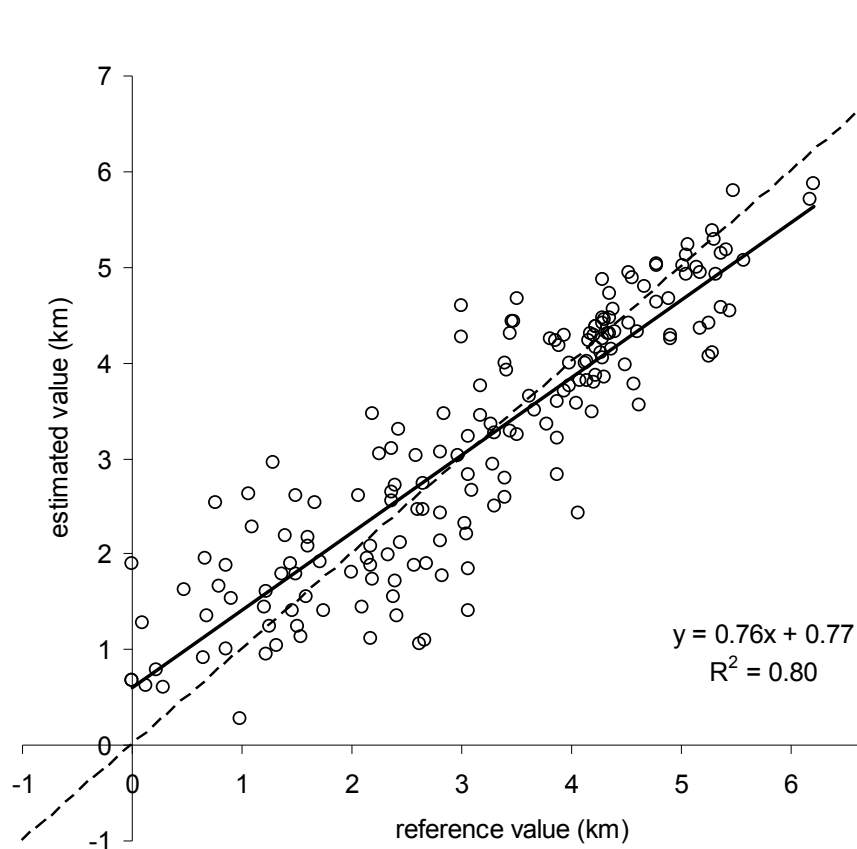
PCA analysis of NIR spectra of paper before degradation tests and decomposed in forest soil for 4 and 8 weeks.

Note: spectral range: 11000-4150cm⁻¹,
pre-processing: 2nd derivative + VN

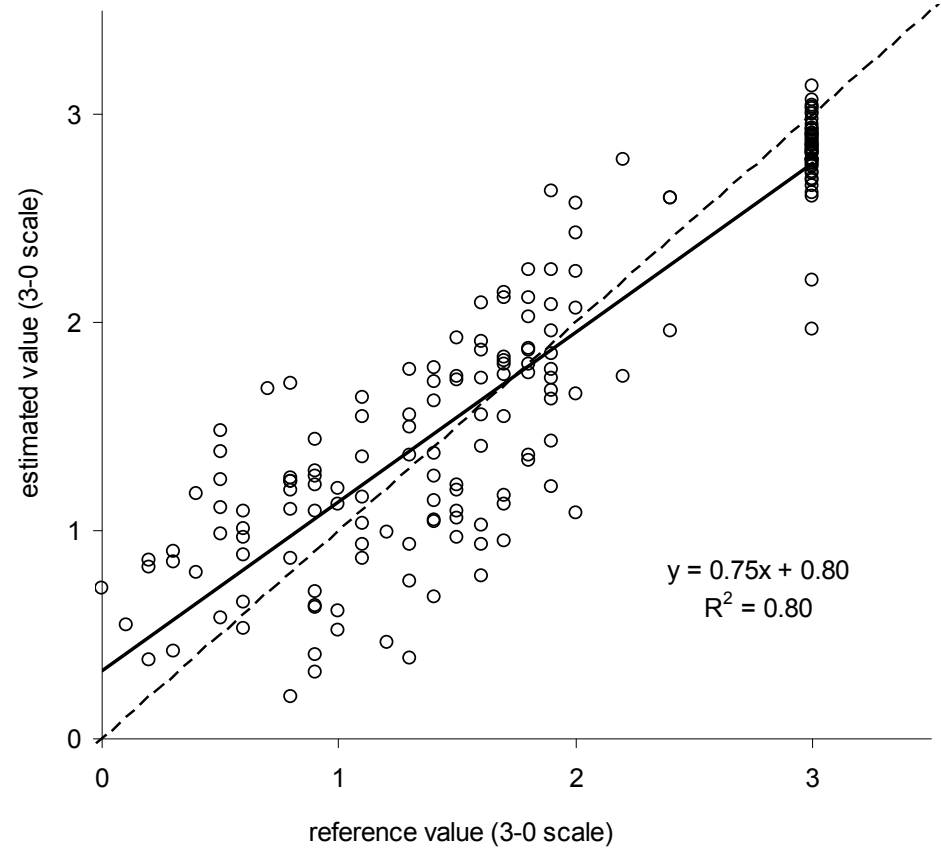


PLS models for paper samples

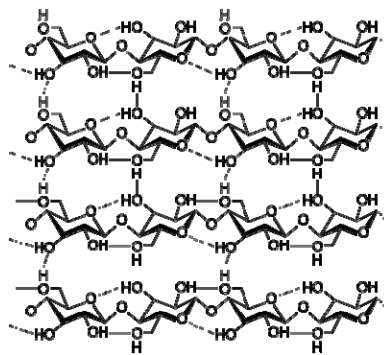
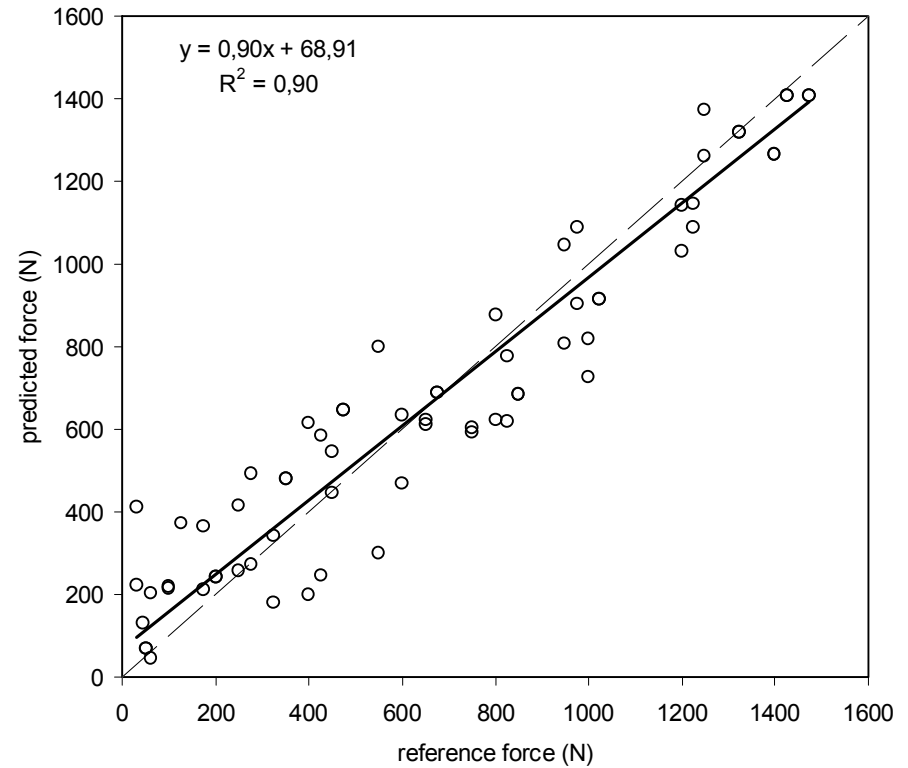
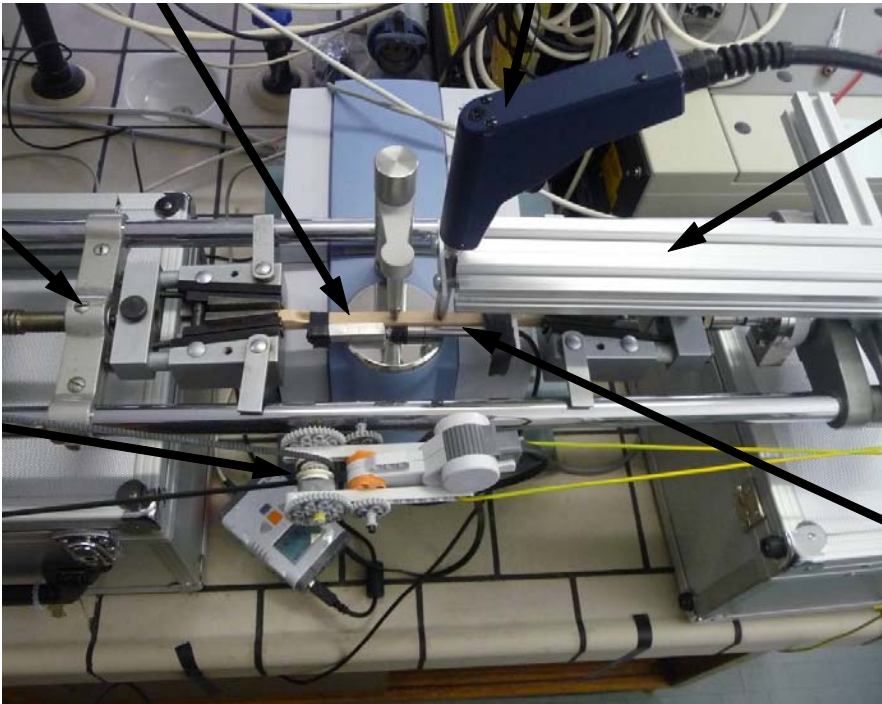
breaking length



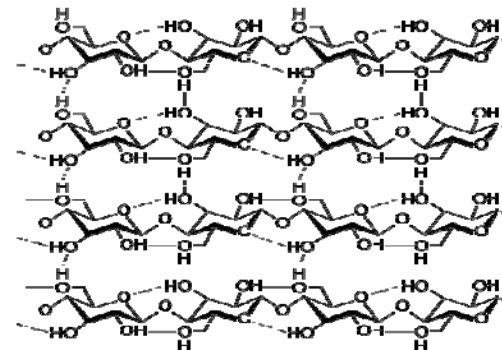
growth degree



Estimation of mechanical stress

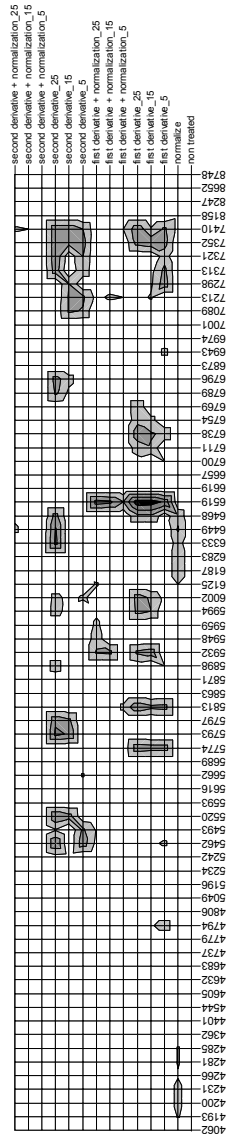


stresses

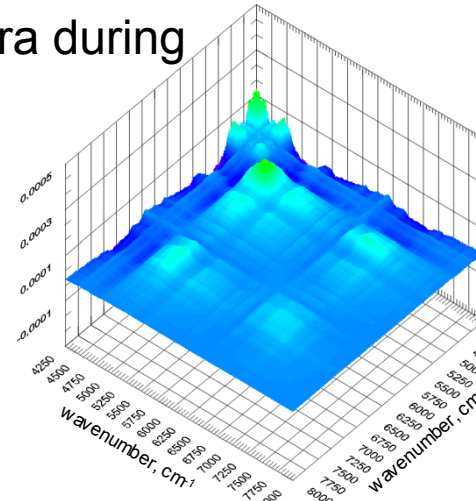


why does it work?

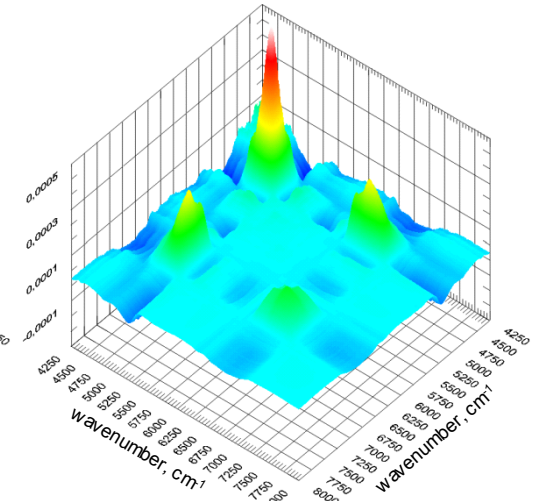
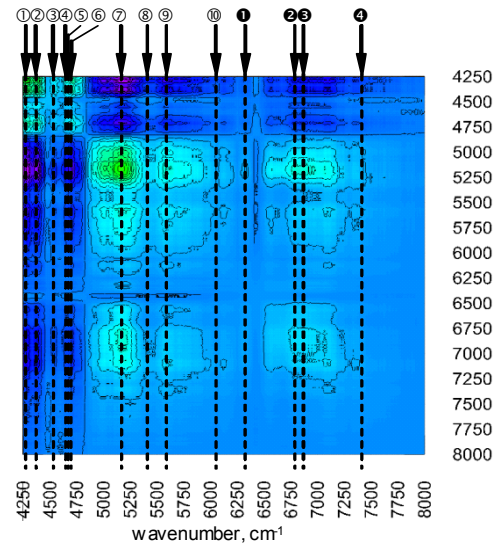
2D synchronous spectra during wood tension



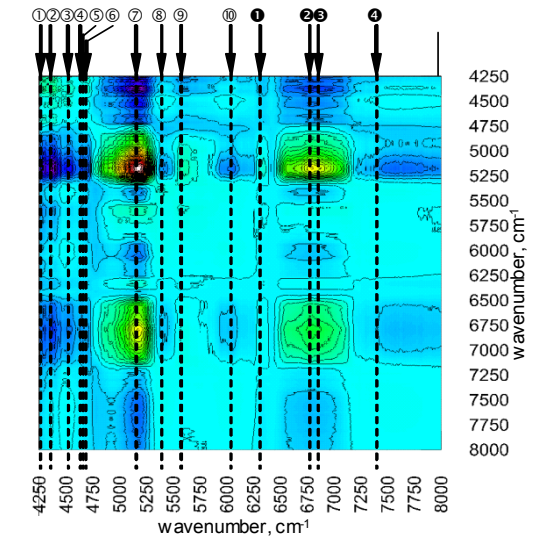
Determination coefficients (r^2) between NIR spectra amplitude and tension stresses in a relation to the spectra preprocessing method



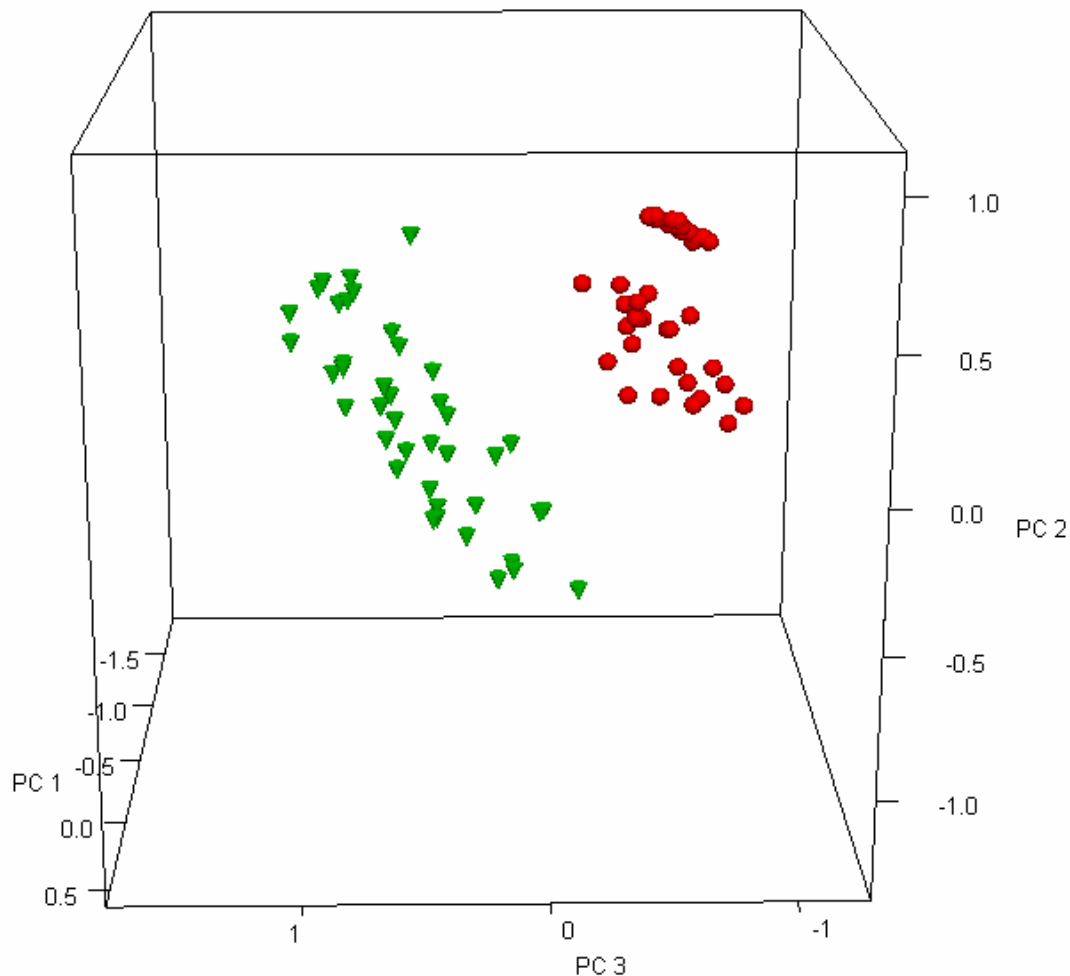
in the elastic field



in the plastic field



Identity test – coating recognition



Water solvent based
products: #13, #26, #41

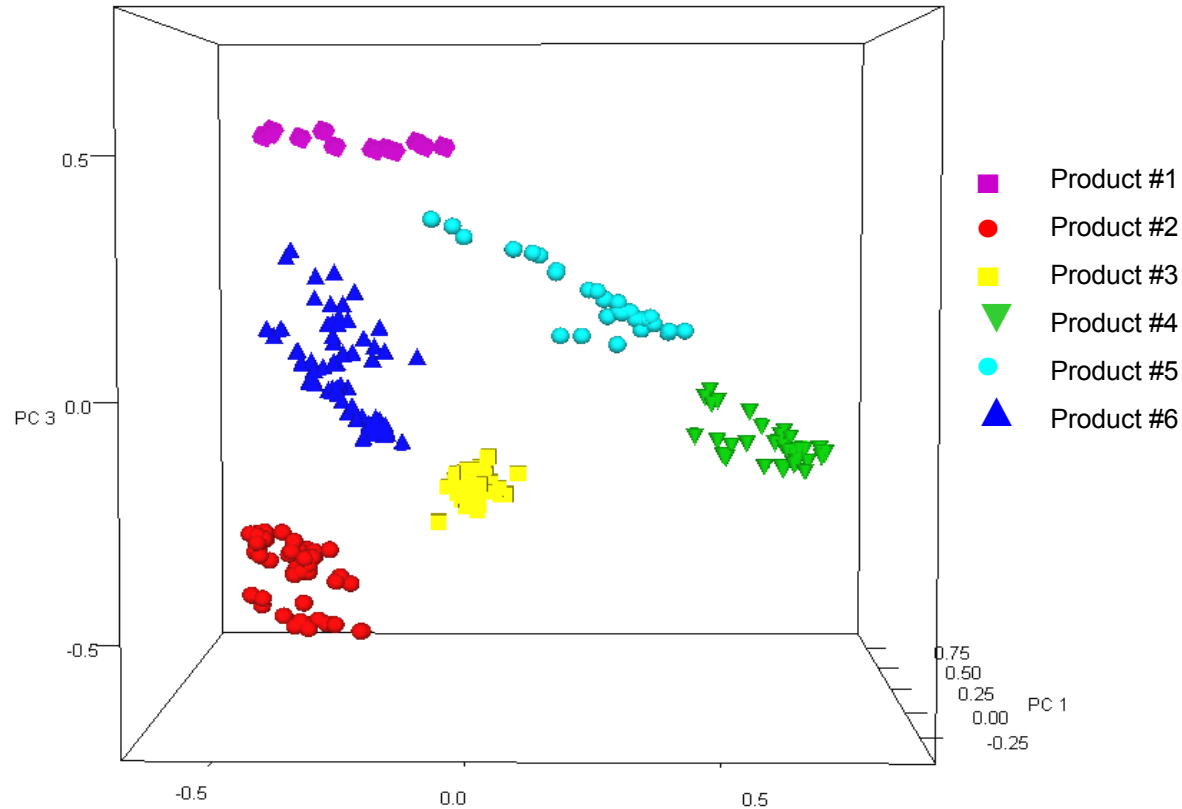
Organic solvent based
products: #11, #12, #42

Preprocessing: 2 derivative + vector
normalization, 9 smoothing points

Method: factorization

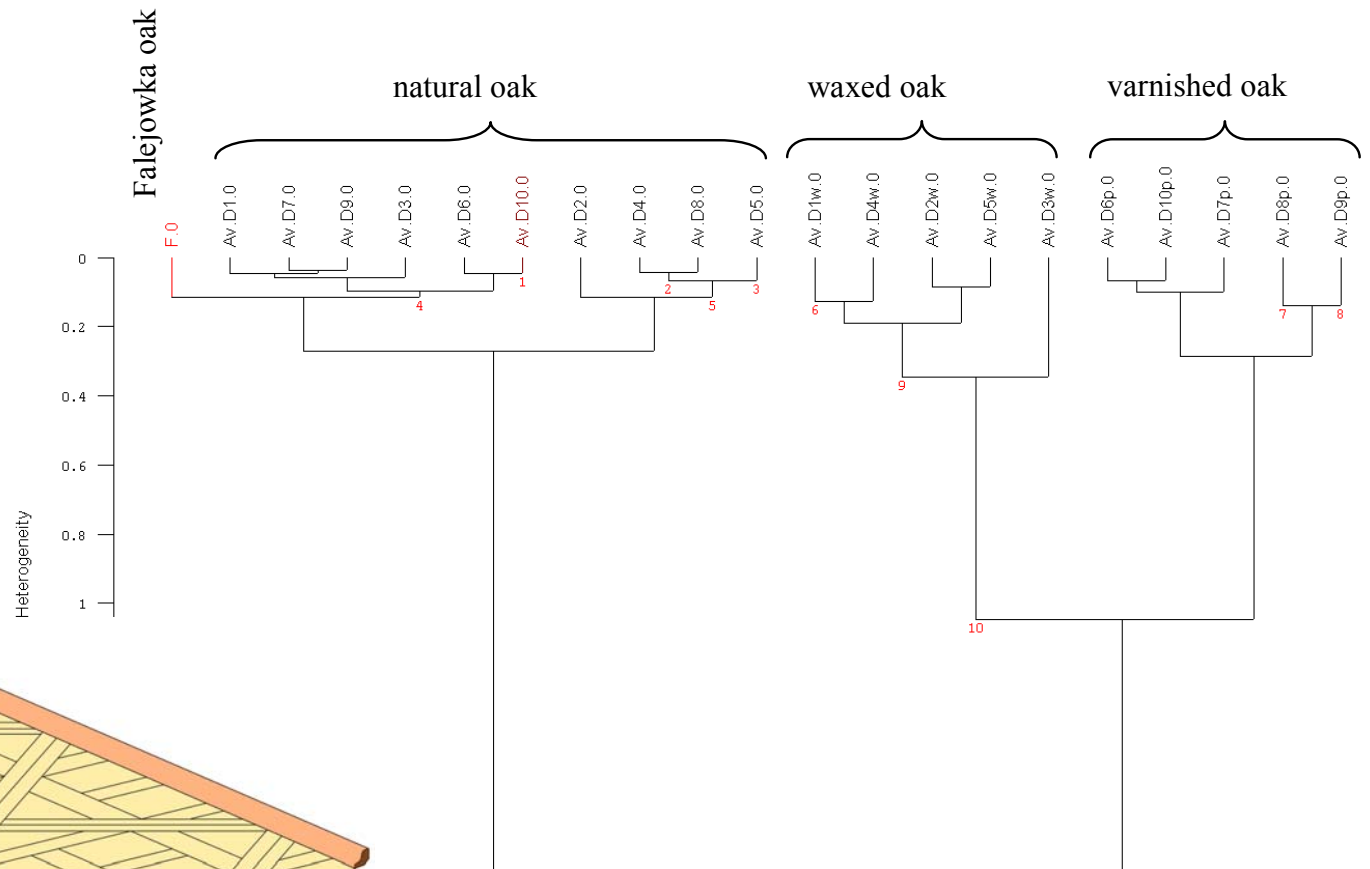
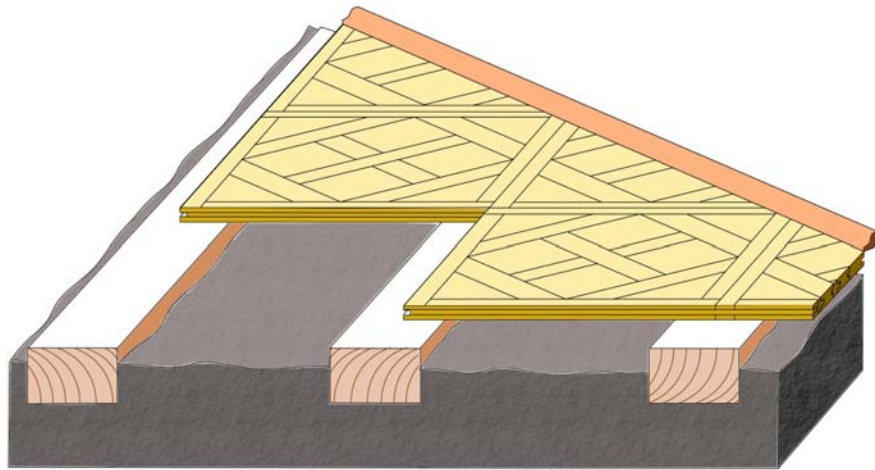
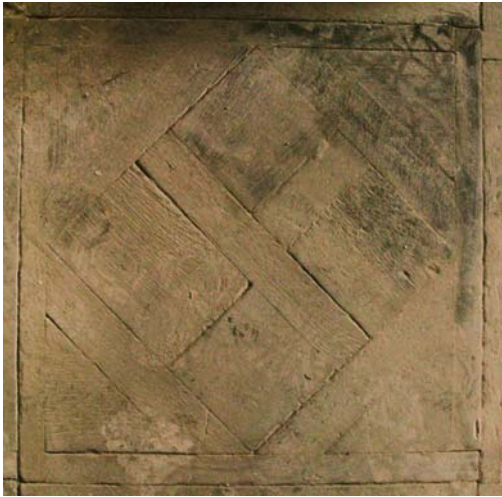
Regions (cm^{-1}): 4135-4350, 5365-5520,
5800-6000, 6290-6480, 7000-7200

Identification of coatings

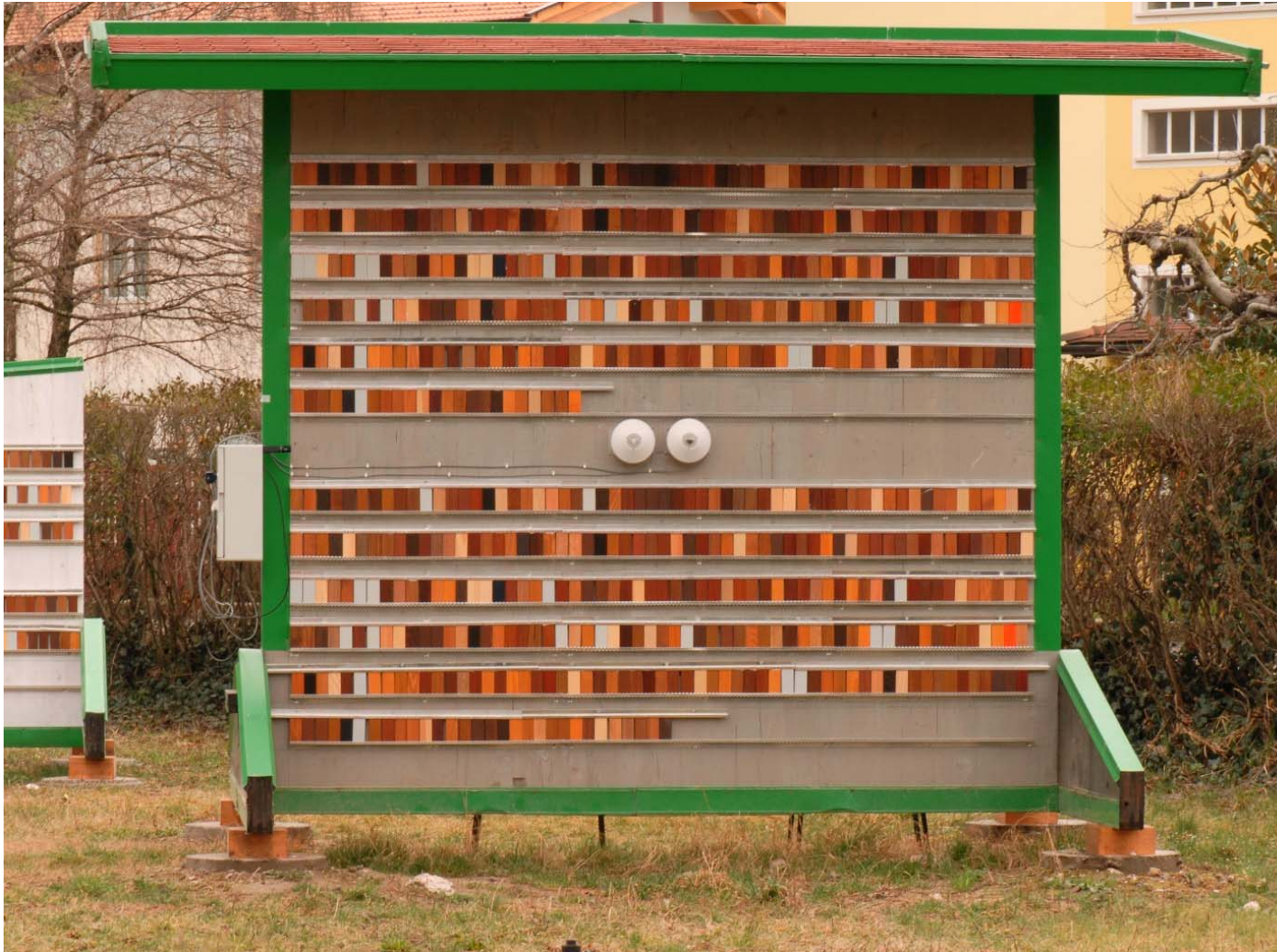


band: 4200-10000 cm^{-1} , 2 derivative, 5 smoothing points, vector normalization, 2 factors

Antique wooden floors

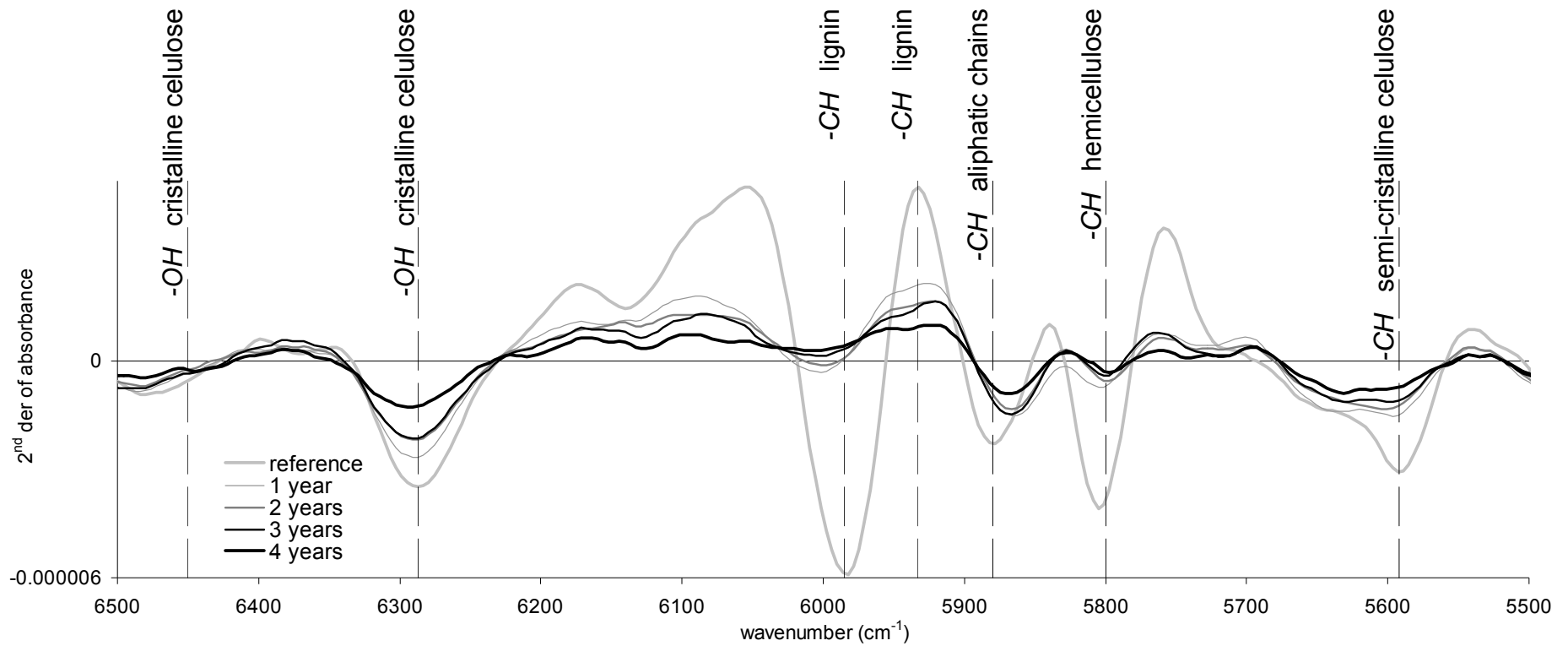


Monitoring of weathering

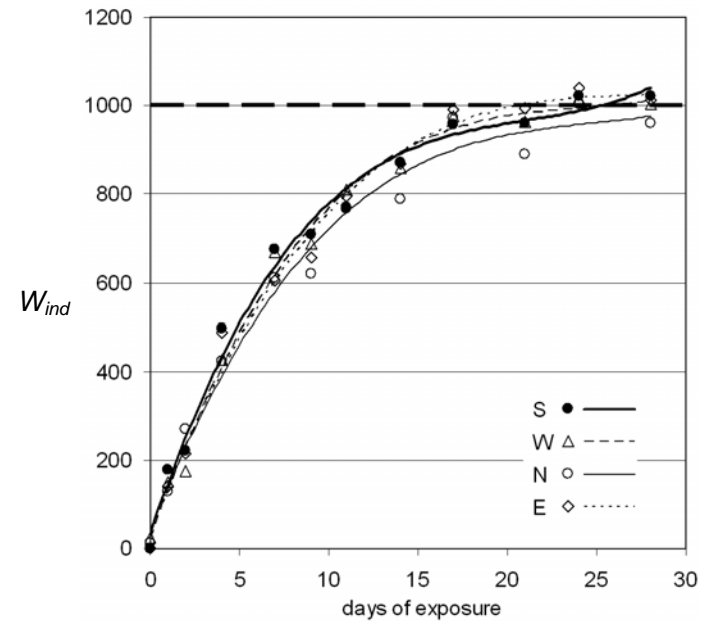
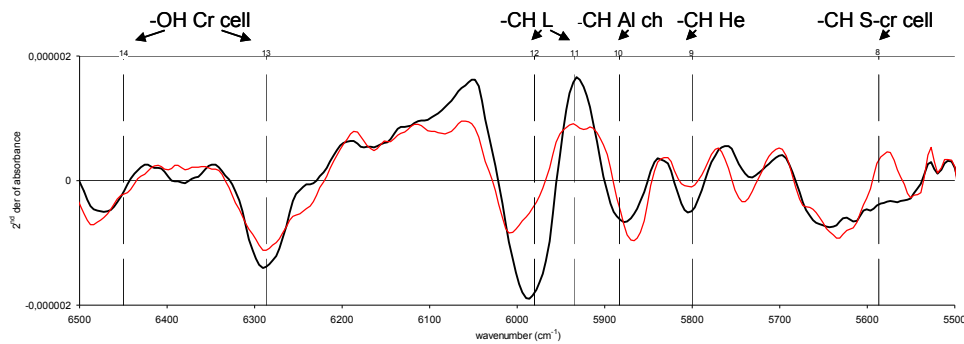
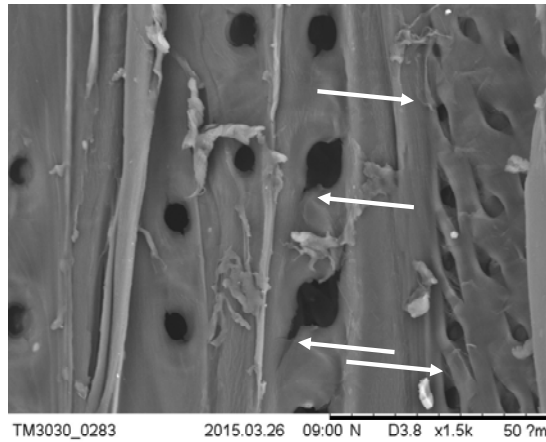


8 softwood, 3 hardwood, 12 exotic, 3 thermo modified wood
21 various water/organic based products with synthetic/natural/acrylic/alkyd resins/oils

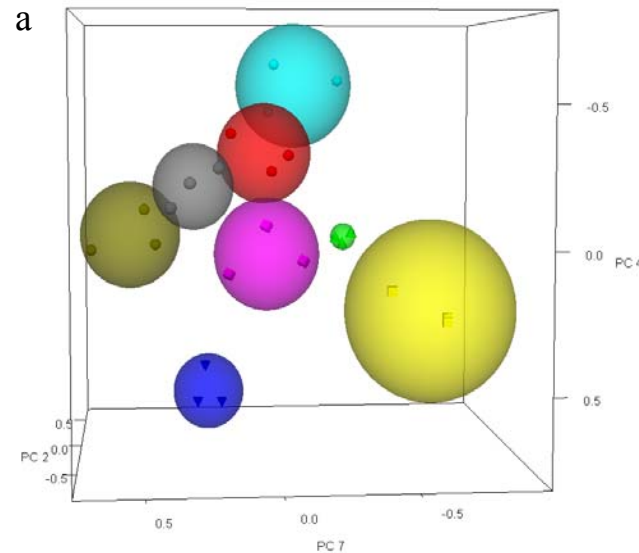
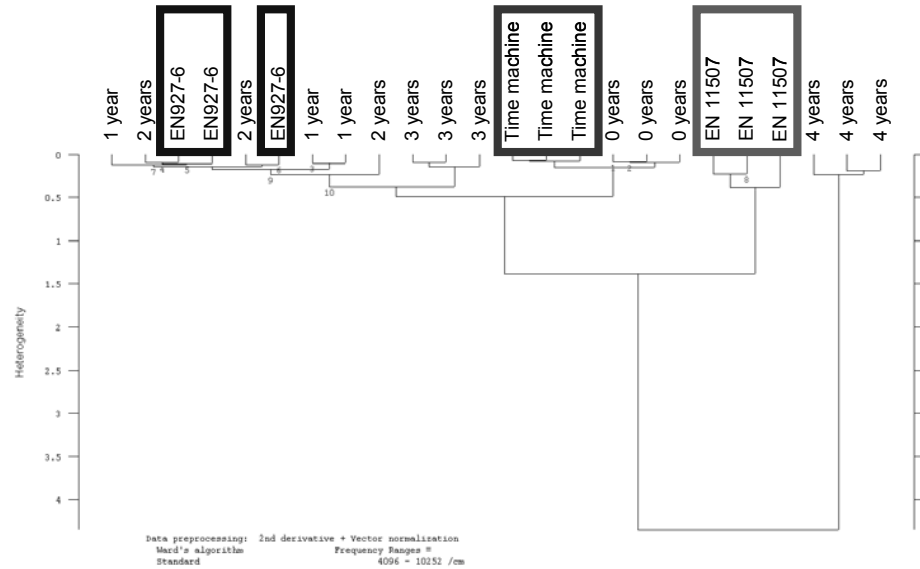
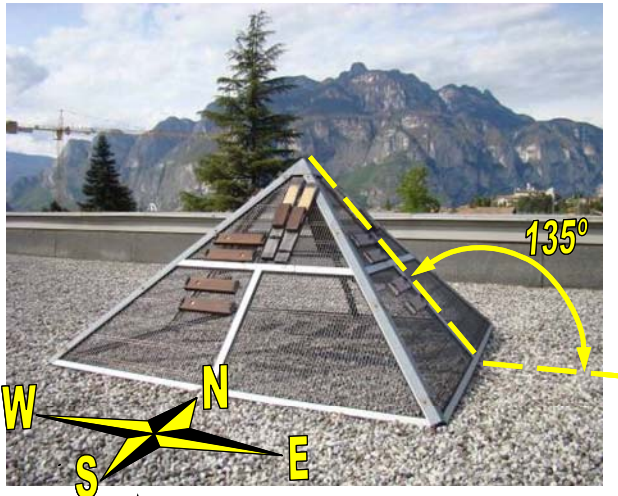
Monitoring wood weathering



Short term weathering of wood



Service life prediction

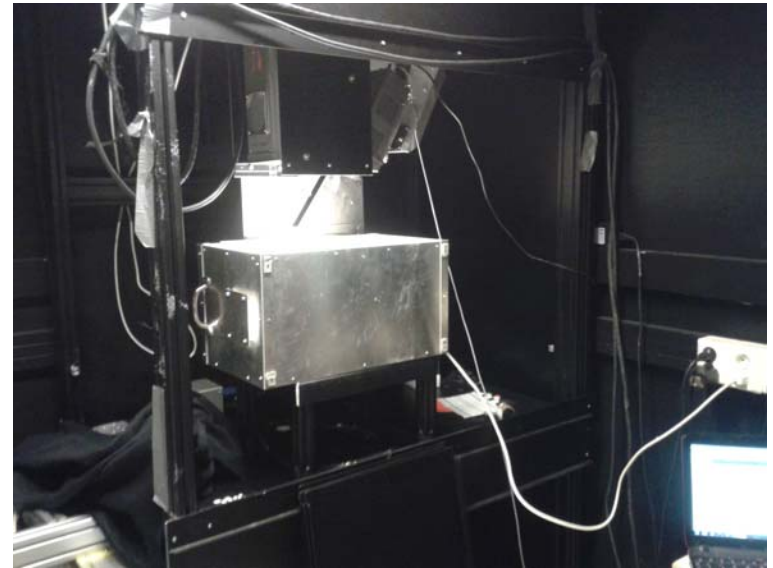


PCA of NIR spectra of non coated samples exposed to South (4 years of natural weathering);

- Reggio Emilia
- ▲ Roma
- ▼ Loninghens
- Macerata
- Udine
- Trento
- Lecce
- standard

Research directions

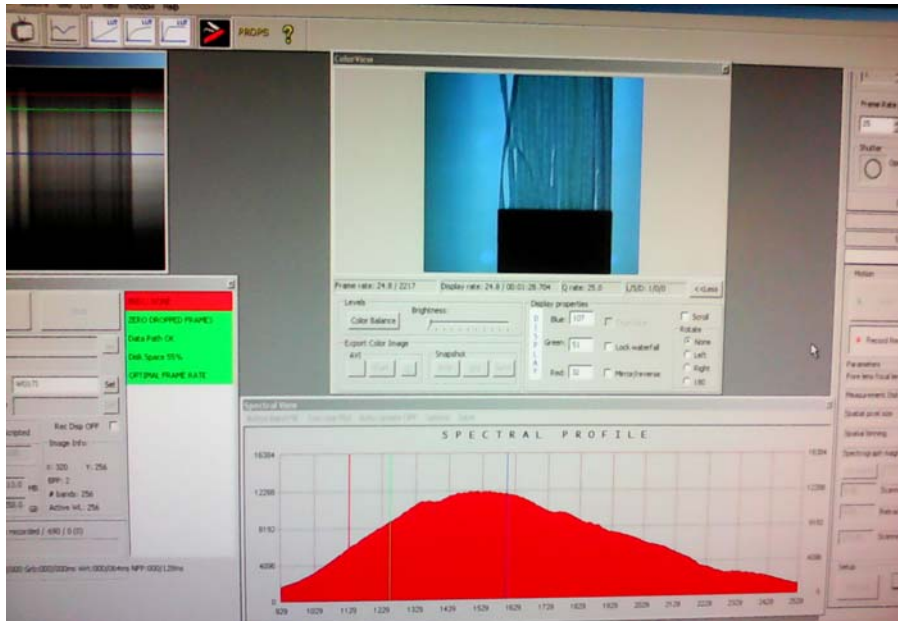
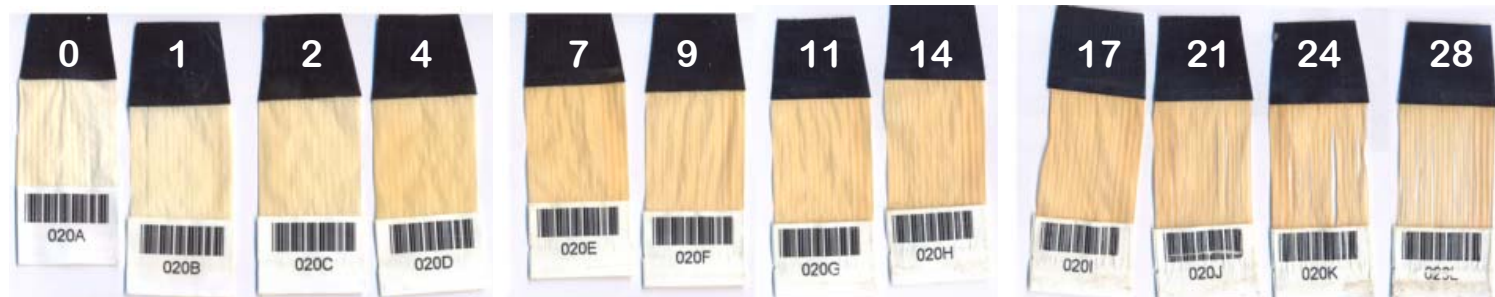
spatial measurement
Hyperspectral Imaging



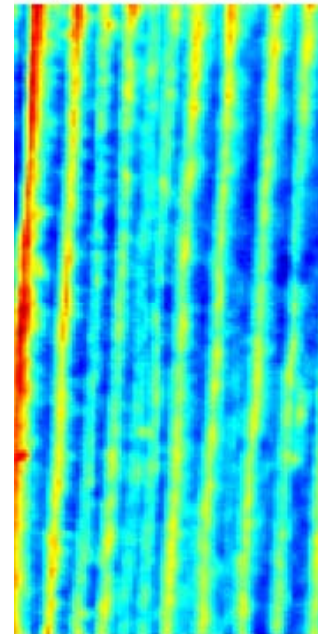
in field measurement
portable equipment



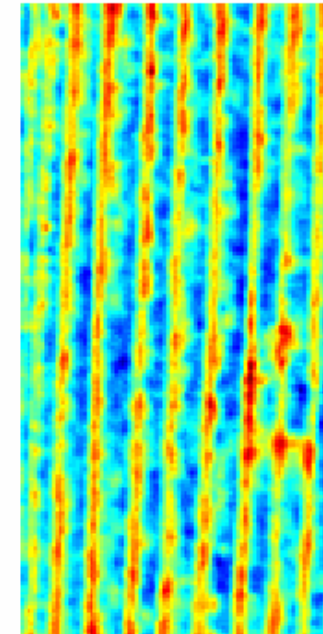
Weathered wood



a) Image of Scores on PC 1 (90.74%)

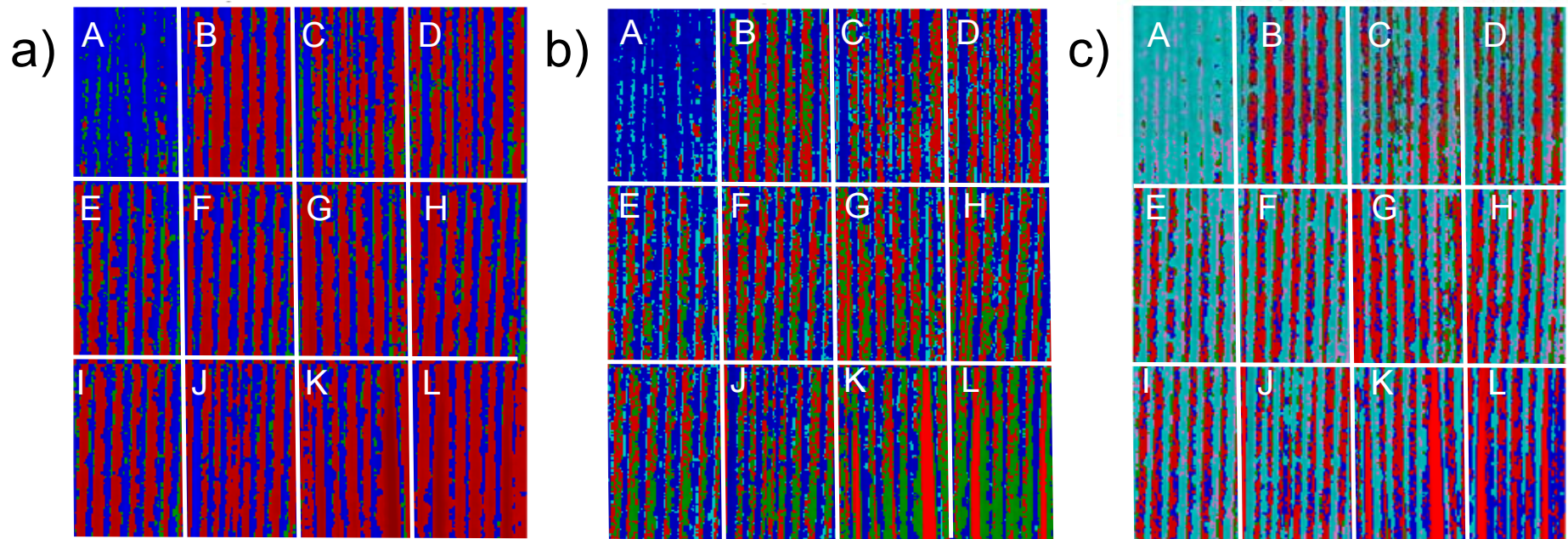


b) Image of Scores on PC 1 (88.62%)



PCA analysis performed on not weathered (a) and sample after 28 days of weathering (b) 59

K-means classification



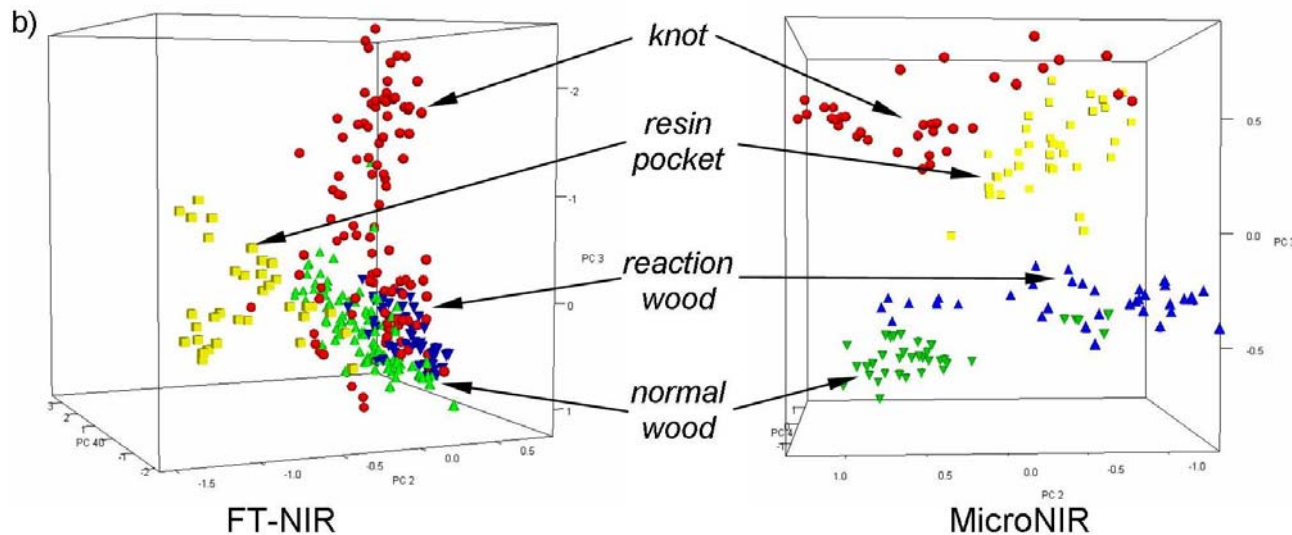
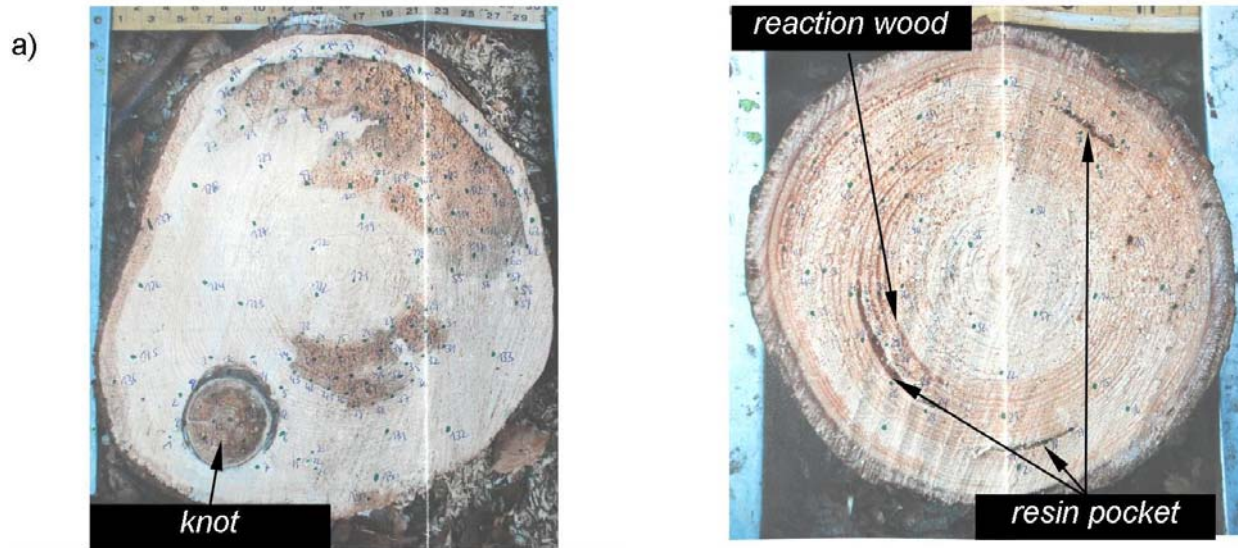
K-means clustering on the mosaic of weathered wood after pre-selecting 3 (a), 4 (b) and 5 classes (c)

NIR for log/biomass quality index in mountain forest



wood measurement at various harvesting moments

Wood defects detection



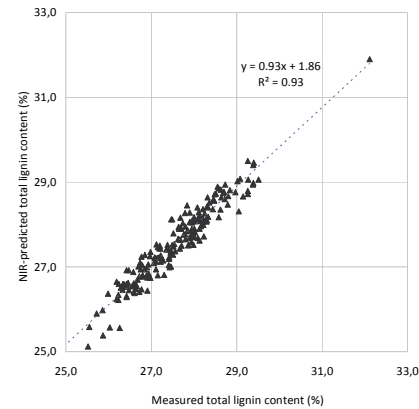
range 12500-5900cm⁻¹
2nd derivative + VN

Calibration transfer

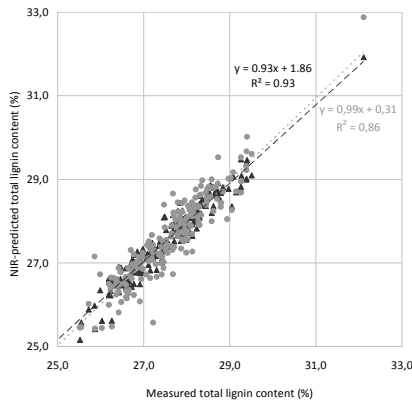


measurement of samples with equipment #1

model #1 development



selection of calibration samples by Kennard Stone algorithm



equipment #2 model development based on samples measured with instrument #1

calculation of transfer matrix



measurement of selected samples with equipment #2

Conclusions

NIR technique has been successfully used for:

- species and provenance recognition
- estimation chemical composition, physical and mechanical properties
- monitoring chemical changes in wood during thermal modification, weathering, waterlogging and decay
- recognition and classification of coatings
- characterization of archeological wood and cultural heritage objects
- service life prediction of wooden elements and structures
- paper characterization
- in-field applications

Current developments in the fields of optics and electronics open new application for NIR. Its non-destructive character and simple measurements allows assisting experts in the estimation of material properties in a fast and repeatable way



Thank you very much
